

# Neutrinos and Nucleosynthesis in Core Collapse Supernovae

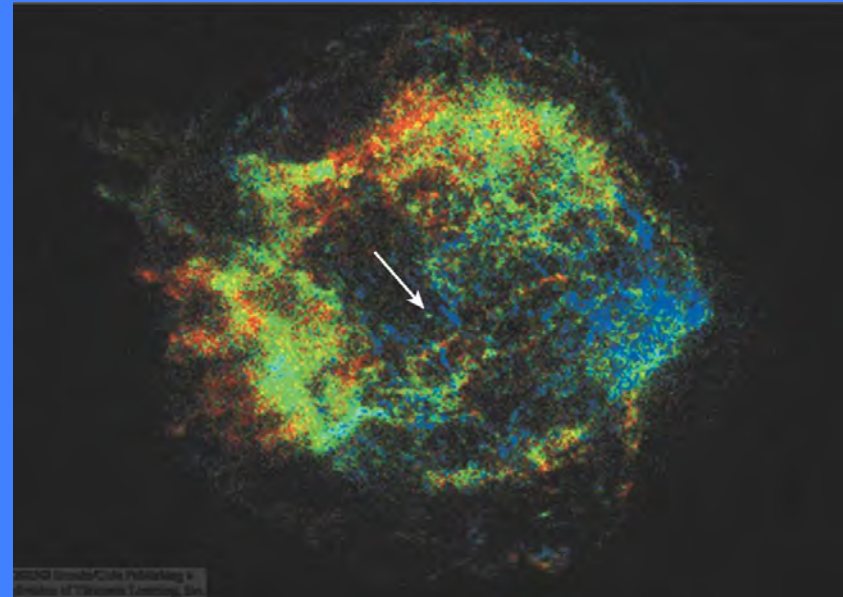
G. J. Mathews – Univ. of Notre Dame

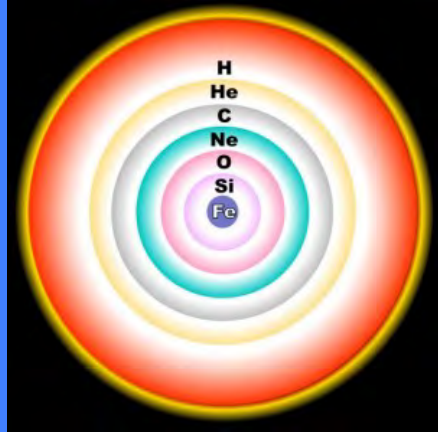
International Symposium  
on

“Physics and Astronomy of  
Neutron Stars and  
Supernovae”

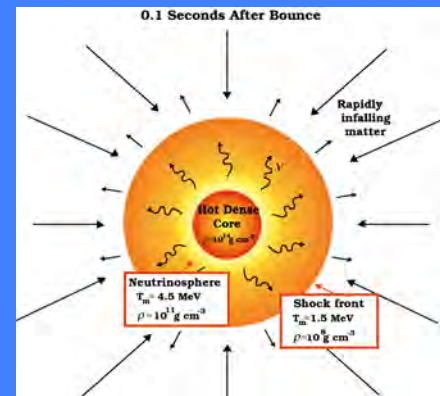
*National Astronomical  
Observatory of Japan*

*June 22, 2015*





# The Supernova Dilemma



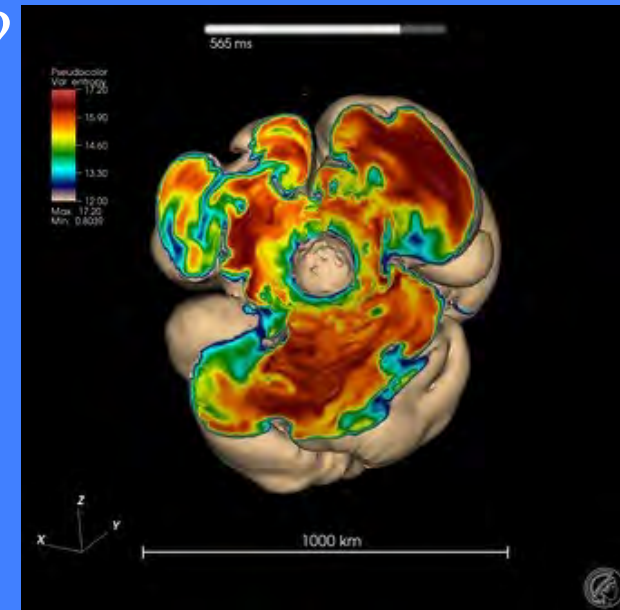
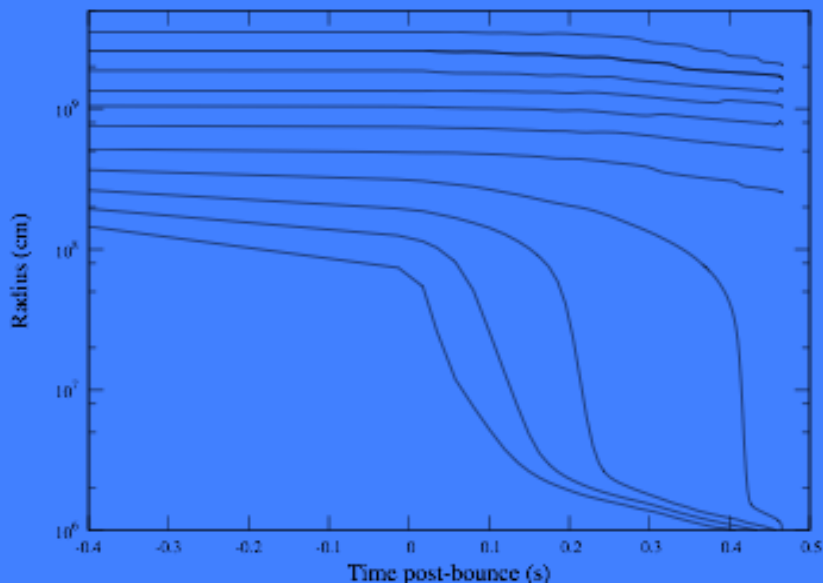
- Even after decades of numerical simulations the mechanism is not yet understood.
- $E(\text{neutron star}) - E(\text{iron core}) \sim 10^{53}$  erg
- $10^{53}$  erg in neutrinos emitted over  $\sim 10$  sec.
- Neutrino m.f.p  $\sim 0.2$  km
- Neutrinos diffuse from the core
- **How do neutrinos explode the star?**

SPHERICAL GENERAL RELATIVISTIC  
 STELLAR CORE COLLAPSE  
 WITH GODUNOV TYPE METHODS

(A NEW SPHERICALLY SYMMETRIC GENERAL RELATIVISTIC  
 HYDRODYNAMICAL CODE. J. V. Romero, J. M<sup>o</sup> Ibañez,  
 J. M<sup>o</sup> Martí and J. A. Miralles. ApJ, 462, 839)

# Current Status

- Neutrinos alone can not induce an explosion in spherical symmetry
- Need 3D effects
  - Neutrino Heated Convection?
  - Standing Accretion Shock Instability?



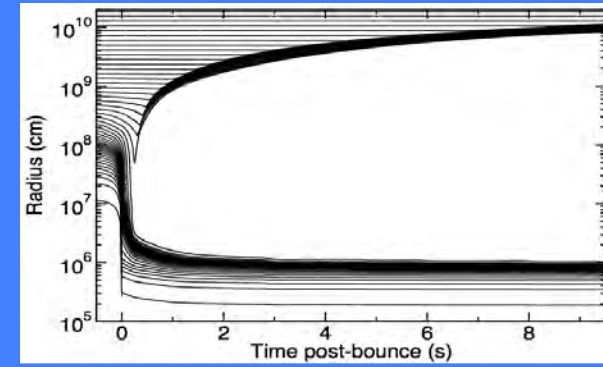
# Premise of this talk

- Maybe neutrinos are telling us a message about the physics of how stars explode
- What are the messages?

# Supernova neutinos as messengers of:

1. Physics beyond the Standard Model:  
sterile neutrinos /dark matter?

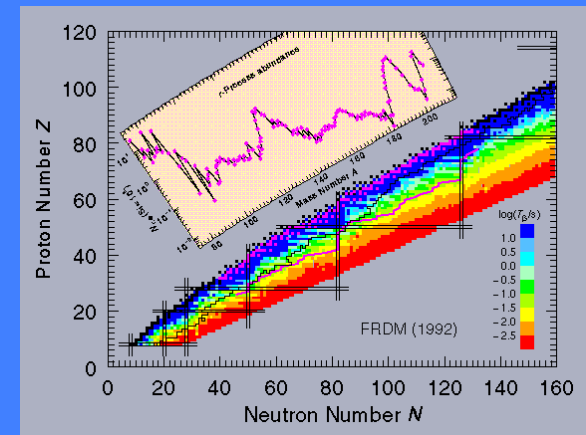
*M. Warren, GJM et al. PRD (2014)*



2. Nucleosynthesis of the r-process elements

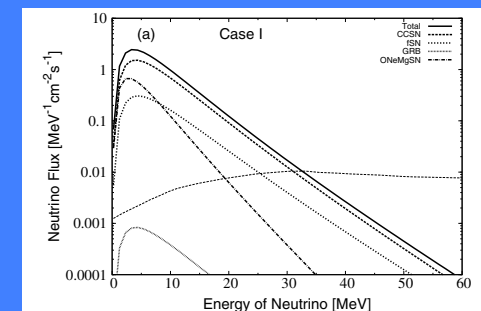
*S. Shibagaki et al., PRD (2014) Submitted*

*K. Nakamura et al. A&A (2015); IJMPE (2014)*



4. Relic neutino background and supernova neutrino temperatures

*GJM, Warren, Hidaka, . Kajino, et al. ApJ (2014)*



# Neutrino transport is a crucial part of the supernova explosion mechanism

Delicate balance between neutrino heating and cooling

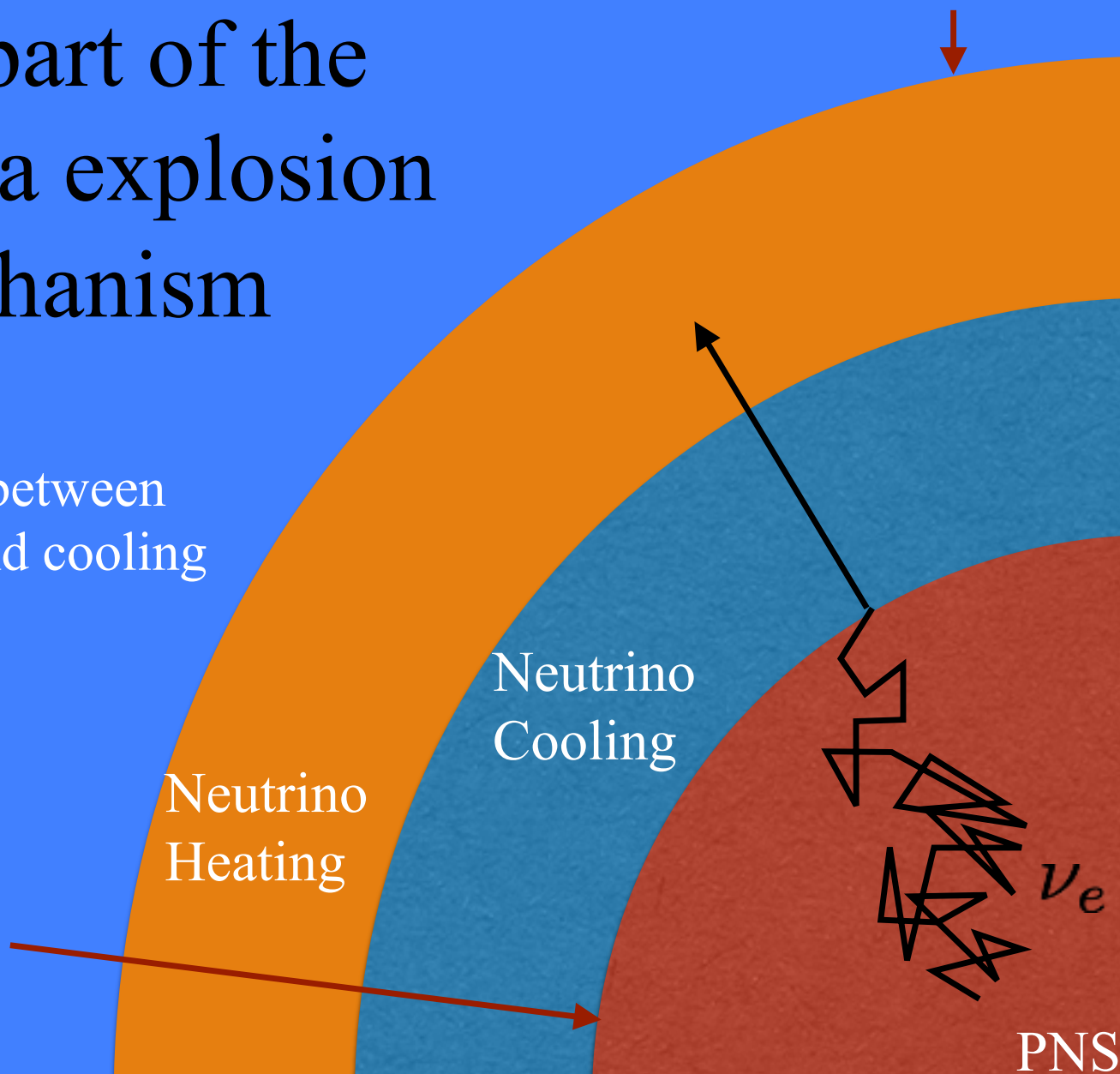
Neutrino Heating

Neutrino Cooling

Neutrinosphere

Shock

PNS



# Neutinos affect the flow of mass-energy

$$T^{\mu\nu} = \begin{pmatrix} \frac{\rho(1+\varepsilon)}{a^2} & \frac{4\pi R^2 \rho \Phi_\nu}{a} & 0 & 0 \\ \frac{4\pi R^2 \rho \Phi_\nu}{a} & P(4\pi R^2 \rho)^2 & 0 & 0 \\ 0 & 0 & (P + W_\nu) / R^2 & 0 \\ 0 & 0 & 0 & (P + W_\nu) / R^2 \sin^2 \theta \end{pmatrix}$$

$$\rho\varepsilon = \rho\varepsilon_M + E_\nu$$

$$P = P_M + P_\nu$$

$$W_\nu = \frac{(E_\nu - 3P_\nu)}{2}$$

$$E_\nu = \sum_1^6 \int F_i dE d\Omega_\nu$$

$$\Phi_\nu = \sum_1^6 \int F_i \cos(\theta) dE d\Omega_\nu$$

$$P_\nu = \sum_1^6 \int F_i \cos^2(\theta) dE d\Omega_\nu$$

# Neutrinos and the flow of spacetime in core-collapse supernovae

## General relativistic hydrodynamics

$$ds^2 = -a^2 \left[ 1 - \left( \frac{U}{\Gamma} \right)^2 \right] dt^2 - \frac{2aU}{\Gamma^2} dR dt - \frac{dR^2}{\Gamma^2} + R^2 (d\theta^2 + \sin^2 \theta d\phi^2)$$

$$\Gamma = \left( 1 + U^2 - \frac{2M}{R} \right)^{1/2}$$

$$T^{\mu\nu}_{;\nu} = 0$$

$$\frac{1}{a} \frac{\partial U}{\partial t} = - \frac{\Gamma}{\rho h} \left[ \frac{1}{b} \frac{\partial P}{\partial m} + \frac{R^2 \rho^2}{a} \frac{\partial}{\partial t} \left\{ \frac{\Phi_\nu}{R^2 \rho^2} \right\} - \frac{2\Gamma}{R} W_\nu \right] - \frac{M}{R^2} - 4\pi R P \quad .$$



# Neutrino Spectrum $F_i$

## General Relativistic Boltzmann Equation

$$\begin{aligned} \frac{1}{a} \frac{\partial F_i}{\partial t} &= \frac{\mu \Gamma}{a R^2} \frac{\partial}{\partial R} (a R^2 F_i) - \Gamma \left( \frac{1}{a R} - \frac{\partial}{\partial R} \ln a \right) \left( \frac{\partial}{\partial \mu} (F_i (1 - \mu^2)) \right) \\ &+ \frac{F_i}{a} \frac{\partial \ln \rho}{\partial R} + R \frac{\partial}{\partial R} \left( \frac{U}{R} \right) \left( \frac{\partial}{\partial \mu} \left[ \mu (1 - \mu^2) F_i \right] + \mu^2 q \frac{\partial F_i}{\partial q} \right) \\ &+ \frac{q}{a} \frac{\partial F_i}{\partial q} \frac{\partial}{\partial t} \left( \ln \frac{R}{a} \right) + \kappa_i \rho (B - F_i) \left( 1 + e^{q/aT} \right) \end{aligned}$$

Linquist (1966)

Wilson & Mathews (2003)

Neutrino collision terms

$$B \equiv g_i \left( \frac{q}{a} \right)^3 \frac{1}{e^{q/aT} + 1}$$

# Neutrino Interactions

$$\frac{1}{a} \frac{\partial \epsilon_M}{\partial t} = -P_M \frac{1}{a} \frac{\partial}{\partial t} \left( \frac{1}{\rho} \right) - \frac{1}{\rho} \sum_{i=1}^6 \int \Lambda_i dE d\Omega_\nu$$

Internal Energy

$$\frac{\rho}{m_b} \frac{1}{a} \frac{\partial Y_e}{\partial t} = - \sum_i (\Lambda_i - \bar{\Lambda}_i) \frac{dq}{q} d\Omega_\nu$$

Lepton Number conservation

Charged-current Interactions:

Electron Capture

$$\nu_e + n \rightleftharpoons e^- + p \quad ,$$

$$\nu_e + A(Z, N) \rightleftharpoons e^- + A(Z + 1, N - 1) \quad ,$$

Electron Scattering

$$\nu_e + e^- \rightleftharpoons e^- + \nu_e \quad ,$$

$$\bar{\nu}_e + e^- \rightleftharpoons e^- + \bar{\nu}_e \quad ,$$

Annihilation

$$\nu_e + \bar{\nu}_e \rightleftharpoons e^- + e^- \quad ,$$

Neutral-current Interactions:

Scattering

$$\nu_e + e^- \rightleftharpoons e^- + \nu_e \quad ,$$

$$\nu_e + A(Z, N) \rightleftharpoons \nu_e + A(Z, N) \quad ,$$

$$\nu_e + p \rightleftharpoons \nu_e + p \quad ,$$

$$\nu_e + n \rightleftharpoons \nu_e + n \quad ,$$

Annihilation

$$\nu_e + \bar{\nu}_e \rightleftharpoons e^- + e^- \quad ,$$

# Neutrino-nucleon scattering

$$\frac{d\sigma_0}{d\Omega} = \frac{G^2 \epsilon_\nu^2}{4\pi^2} \left[ c_v^2 (1 + \cos \theta) + c_a^2 (3 - \cos \theta) \right]$$

Corrections  
- Horowitz  
(2002)

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## Correction

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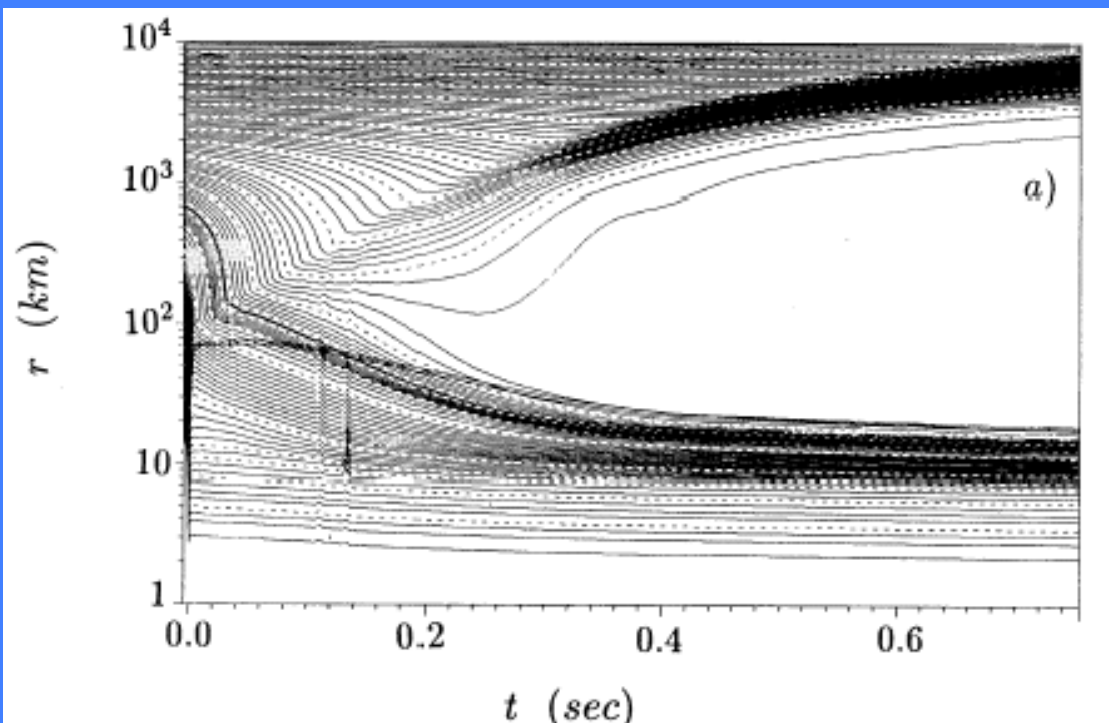
1. Phase space
  2. Matrix element
    - a. recoil
    - b. weak magnetism
    - c. form factors
    - d. strange quarks
  3. Pauli blocking
  4. Fermi/thermal motion of initial nucleons
  5. Coulomb interactions
  6. Mean field effects
  7. NN Correlations in RPA
  8. NN Correlations beyond RPA
  9. Meson exchange currents
  10. Other components such as hyperons
  11. Other phases such as meson condensates or quark matter
  12. Corrections from superfluid/ superconductor pairing
  13. Nonuniform matter
  14. Magnetic field effects
- 
-

# Is there more?

- EOS effects
- Coherent neutrino scattering?
- Neutrinos from QCD phase transition?
- Neutrino oscillations?
- Oscillations with sterile neutrinos?
- .....

# EoS Canundrum in Core Collapse

- Want soft EoS in SNe => higher central core densities, neutrino fluxes, temperature
- Heavy-Ion data favor a soft EoS
- Want stiff EoS in cold NS => max mass  $> 2 M_{\odot}$



# Regions of the Hadronic EoS

Below Nuclear  
Matter density  
Reaction Network  
=> NSE  
=> Nuclear pasta

Above Nuclear  
Matter density  
=> pions  
=> Lambdas?  
=> Strange matter?

Transition to  
Quark Gluon  
Plasma?  
=> Coexistence  
=> QGP

3-body repulsion

=> CSC

Soft again?



# NDL Nuclear Matter EoS

Free Energy

$$f = f_{skyrme} + f_{asym} + f_{therm}$$

Volume

$$f_{skyrme} = \frac{\hbar^2}{2m} \tau + \frac{3}{8} t_0 n + \frac{3}{8} t_{1,2} \tau n + \frac{1}{16} t_3 n^{\sigma+1}$$

Includes 2-body and 3-body terms

$$K_0 = 240 \pm 10 \text{ MeV}$$

$$n_0 = 0.16 \pm 0.01 \text{ fm}^{-3}$$

$$\tau = \frac{3}{5} \left( \frac{3\pi^2}{2} \right)^{2/3} n^{2/3}$$

Asymmetry

$$f_{asym} = (1 - 2Y_p)^2 S_0(n); \quad S_0(n) \approx S_0 + \frac{L}{3}(\eta - 1) + \frac{K_{sym}}{18}(\eta - 1)^2$$

Thermal

$$f_{therm} = F_{Th}(\rho, T) = \Theta(\rho, t) - \Theta(\rho, 0)$$

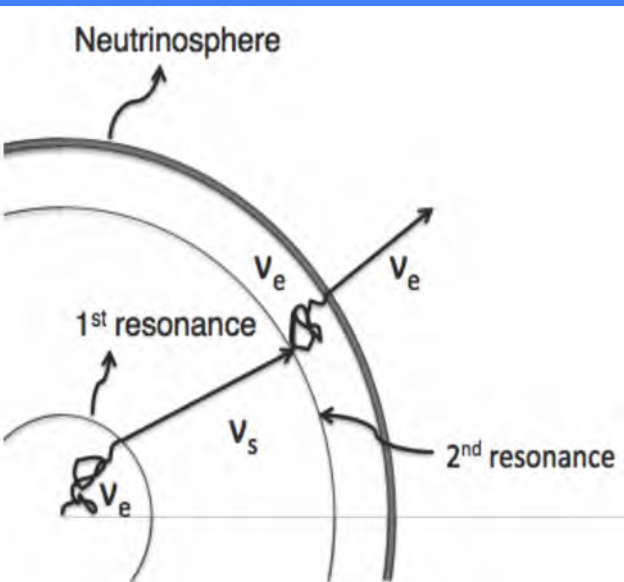
$$\Theta(\rho, t) = \sum_{i=N, \Delta} \int \frac{4\pi g_i dp_i p_i^2}{h^3} \left( \frac{\mu_i}{D_i} - kT \ln(D_i) \right)$$

# Sterile Neutrino Dark Matter and Core Collapse Supernovae

M. Warren, M. Meixner, G. Mathews


J. Hidaka, and T. Kajino

*PRD*, 90, 103007 (2014) *arXiv:1405:6101*





# What are sterile neutrinos?

ELECTRON NEUTRINO	MUON NEUTRINO	TAU NEUTRINO
		
MASS	< 1 electronvolt	
FORCES THEY RESPOND TO	Weak force Gravity	
DIRECTION OF SPIN	All three "left handed"	

- Proposed fourth neutrino flavor
- Minimal extension of the Standard Model

$\nu$ MSM

$$\mathcal{L} = \mathcal{L}_{SM} + i\bar{N}_I \partial_\mu \gamma^\mu N_I - \left( F_{\alpha I} \bar{L}_\alpha N_I \tilde{\phi} - \frac{M_I}{2} \bar{N}_I^c N_I + h.c. \right)$$

Can explain active neutrino masses and mixings

$$(m_\nu)_{\alpha\beta} = - \sum_{I=1}^{\mathcal{N}} (M_D)_{\alpha I} \frac{1}{M_I} (M_D^T)_{I\beta},$$

# Sterile Neutrino Dark Matter?

ELECTRON NEUTRINO	MUON NEUTRINO	TAU NEUTRINO	STERILE NEUTRINO
			
MASS	< 1 electronvolt		> 1 electronvolt
FORCES THEY RESPOND TO	Weak force Gravity		Gravity
DIRECTION OF SPIN	All three "left handed"		"Right handed"

- Decaying dark matter candidate
- Interaction strength with normal matter

$$\theta G_F$$

Decay Width

$$\nu_s \rightarrow \gamma + \nu_\alpha$$

$$\Gamma_{N_1 \rightarrow \gamma \nu} = \frac{9\alpha G_F^2}{1024\pi^4} \sin^2(2\theta_1) M_1^5 \simeq 5.5 \times 10^{-22} \theta_1^2 \left[ \frac{M_1}{\text{keV}} \right]^5 \text{ s}^{-1}$$

# How are sterile neutrinos observable?

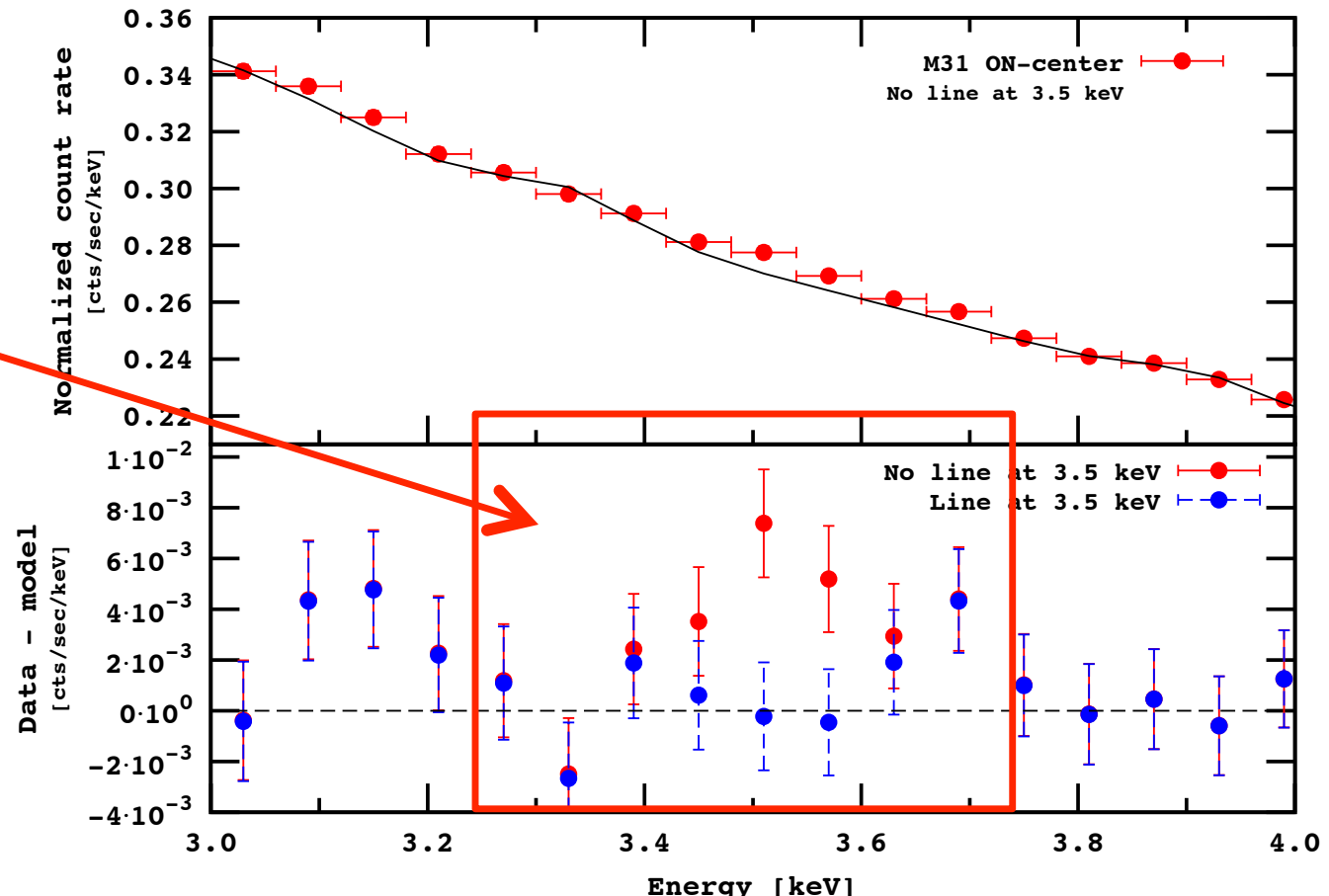
- Oscillations
  - Decay/Annihilation
  - Atmospheric Neutrinos
  - Anomalous LSND results?
  - Affect on supernova explosion



# Evidence of 3.5 keV line from Andromeda and the Perseus Cluster

Boyarsky et al. (2014) arXiv:1402.4119

$$\nu_s \rightarrow \gamma + \nu_\alpha$$



# Constraints on Sterile Neutrino Dark Matter

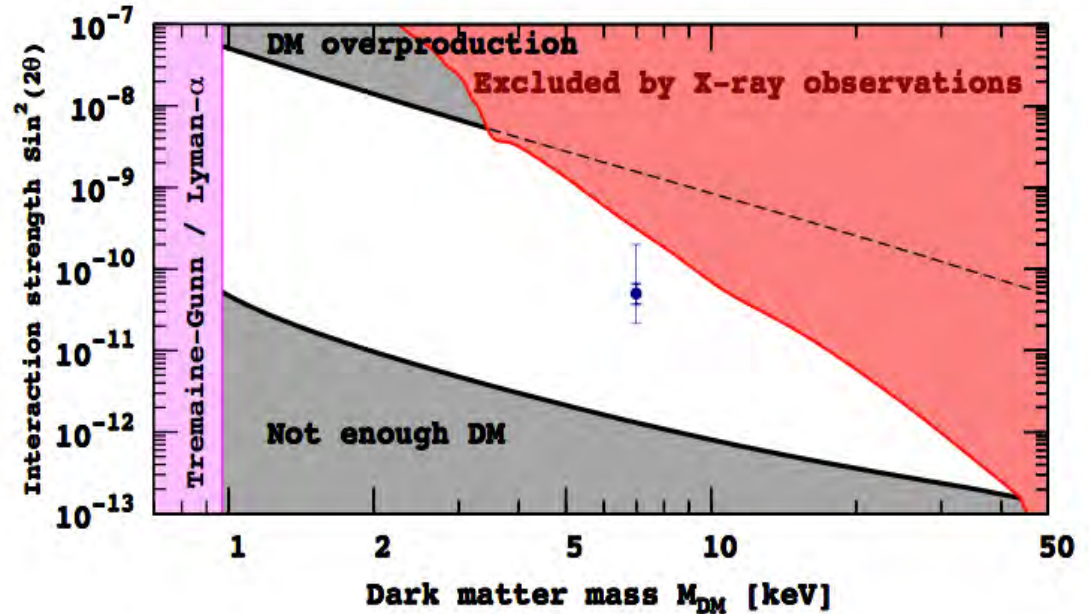
Boyarsky et al (2014)

Decaying sterile neutrinos

$$\nu_s \rightarrow \gamma + \nu_\alpha$$

Assume dark matter is  
100% sterile neutrino

$$\Gamma_{\nu_s \rightarrow \gamma \nu_\alpha} \sim \sin^2 2\theta_s m_s^5$$



$$E_\gamma = 3.518_{-0.022}^{+0.019} \text{ keV}$$

$$m_s = 7.06 \pm 0.05 \text{ keV}$$



# Sterile neutrinos in core-collapse supernovae

NASA, ESA, R. Sankrit and W. Blair

M. Warren, M. Meixner, G. Mathews

J. Hidaka, and T. Kajino

*PRD*, 90, 103007 (2014) *arXiv:1405:6101*

# Is there more?

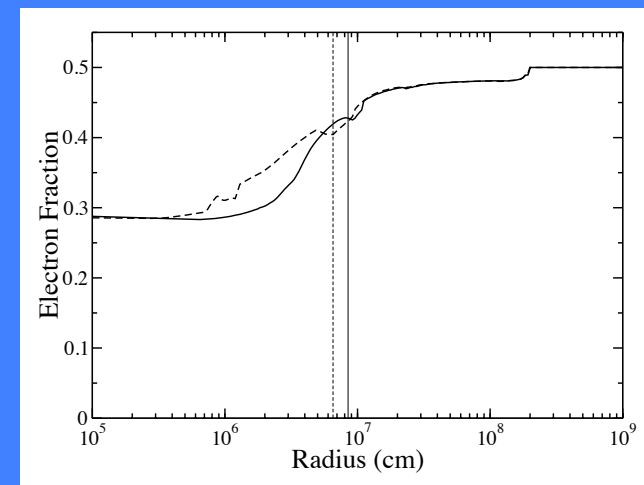
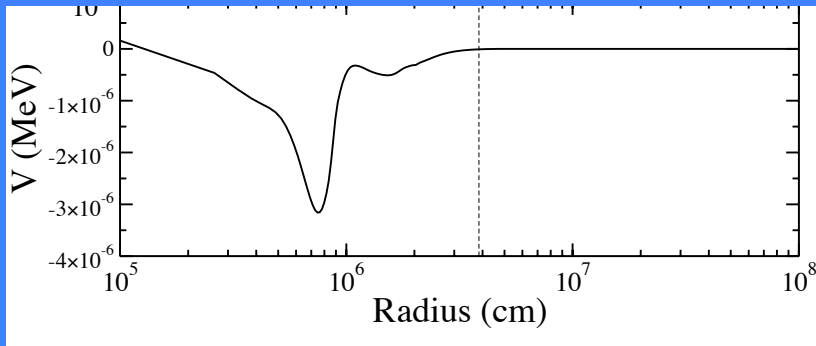
- Neutrino oscillations?
- Oscillations with sterile neutrinos?
- Coherent neutrino scattering?
- Neutrinos from QCD phase transition?
- .....
- ...
- .

# Matter-enhanced neutrino oscillations

Neutrinos experience a potential when moving through matter via interactions with electrons, nucleons, other neutrinos...

$$V(r) = \sqrt{2}G_F \left( (n_{e^-} - n_{e^+}) + 2(n_{\nu_e} - n_{\bar{\nu}_e}) \right. \\ \left. (n_{\nu_\mu} - n_{\bar{\nu}_\mu}) + (n_{\nu_\tau} - n_{\bar{\nu}_\tau}) - n_n/2 \right)$$

$$V(r) = \frac{3\sqrt{2}}{2}G_F n_B \left( Y_e + \frac{4}{3}Y_{\nu_e} - \frac{1}{3} \right)$$

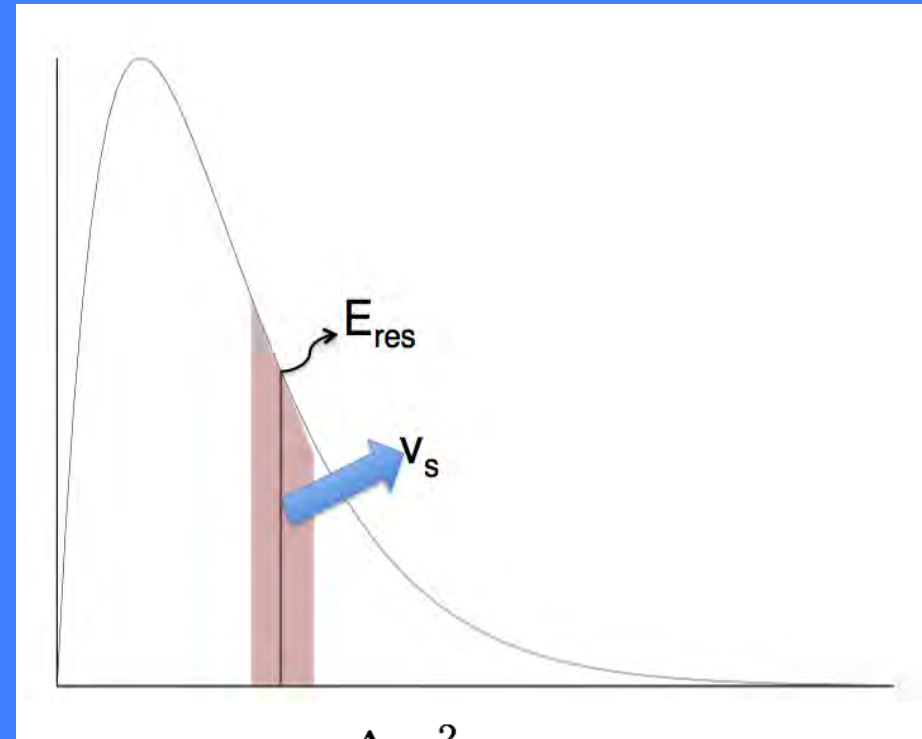




# Matter-enhanced neutrino oscillations

Potential difference enhances oscillations

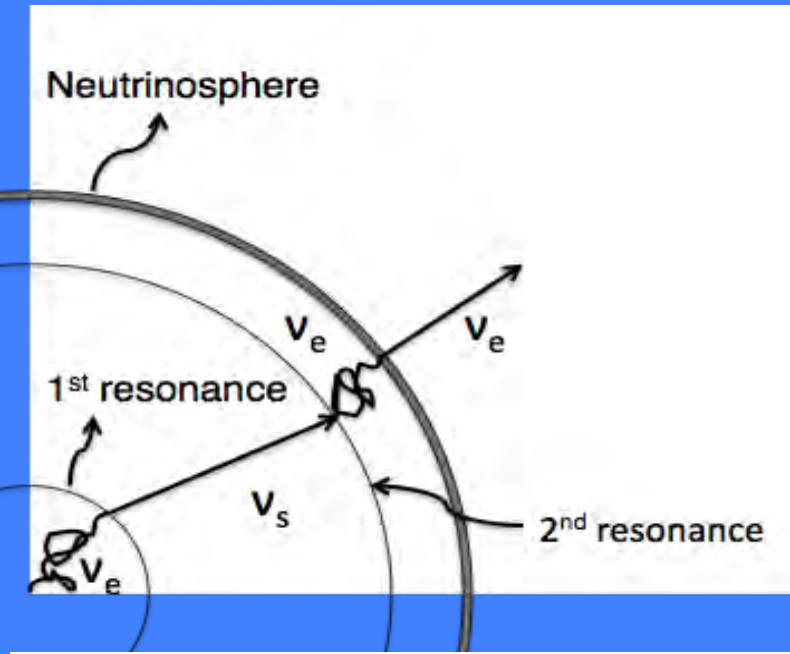
Resonance: *Maximal* mixing (even for small vacuum mixing angle)



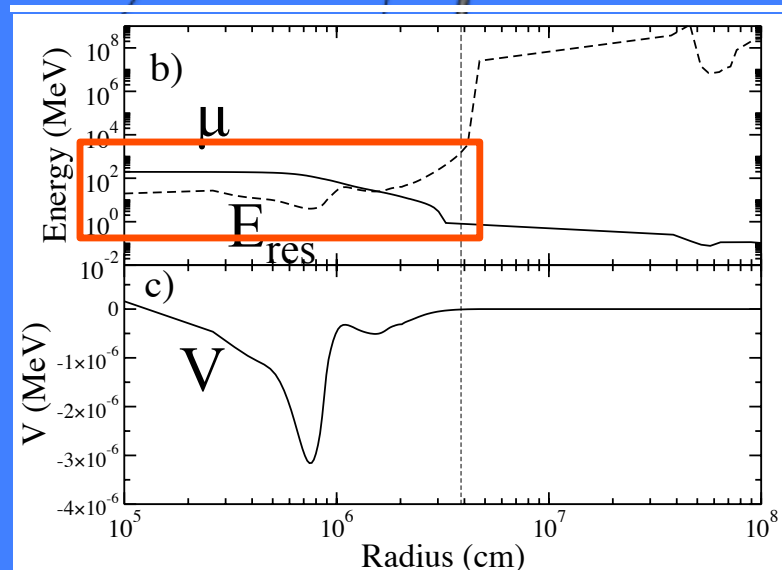
$$E_{res} = \frac{\Delta m_s^2}{2V_e} \cos 2\theta_s$$

$$\sin^2 2\theta_M = \frac{(\Delta m_s^2 / 2E_\nu)^2 \sin^2 2\theta}{\boxed{((\Delta m_s^2 / 2E_\nu) \cos 2\theta - V)^2} + (\Delta m_s^2 / 2E_\nu)^2 \sin^2 2\theta}$$

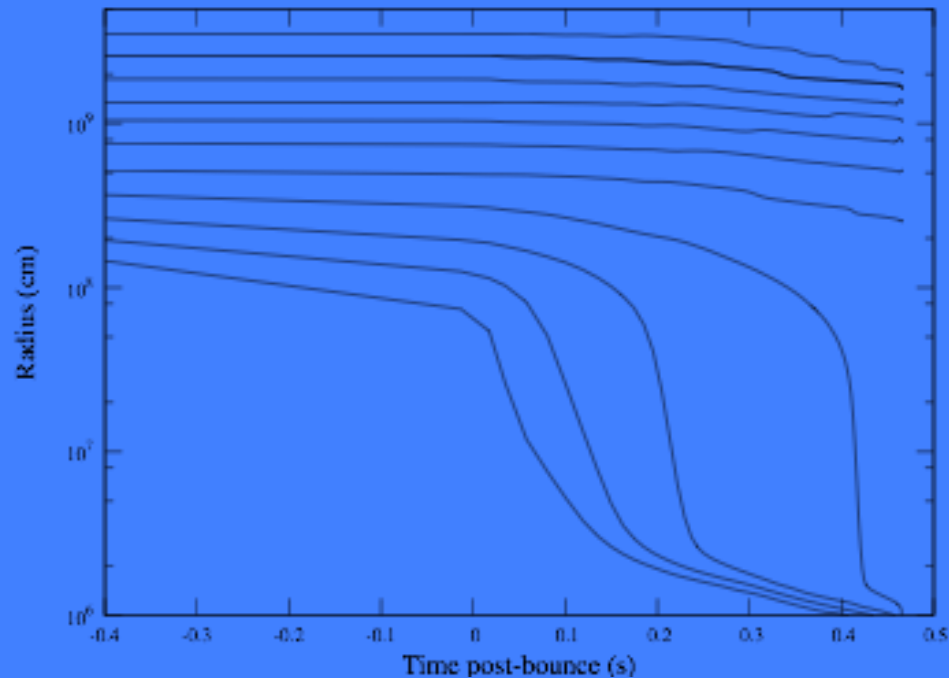
# How sterile neutrinos affect the explosion



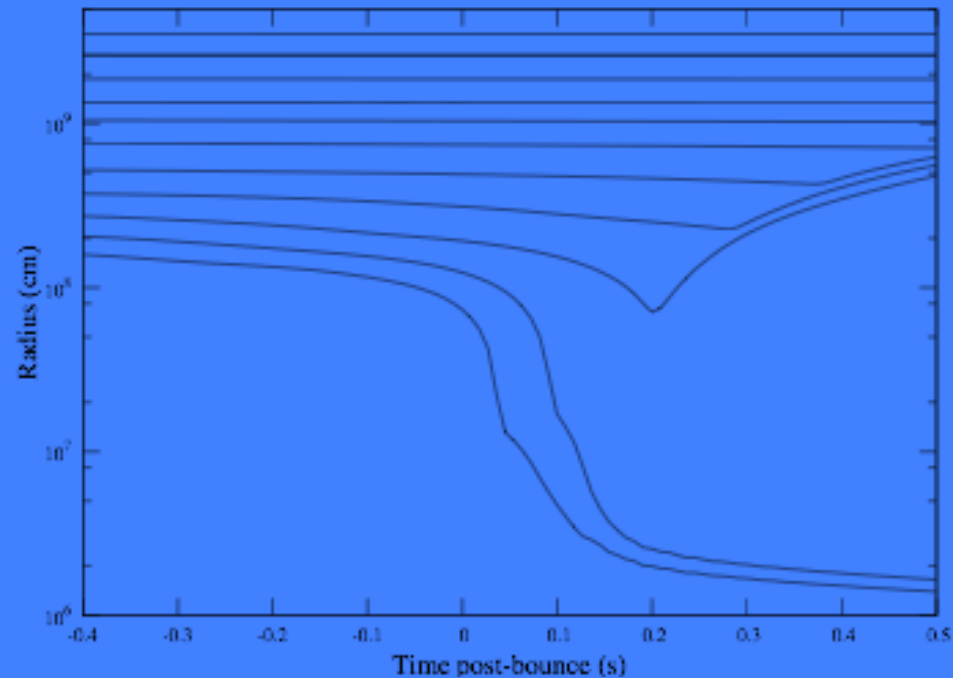
- Diffusion time greatly diminished as electron neutrinos transform to freely streaming sterile neutrinos then back again
- Neutrino Luminosity =  $\frac{\text{Neutrino internal energy}}{\text{Diffusion time}}$
- $\Rightarrow$  Enhanced Luminosity and heating near the neutrinosphere



# Successful explosion in a model that would not otherwise explode

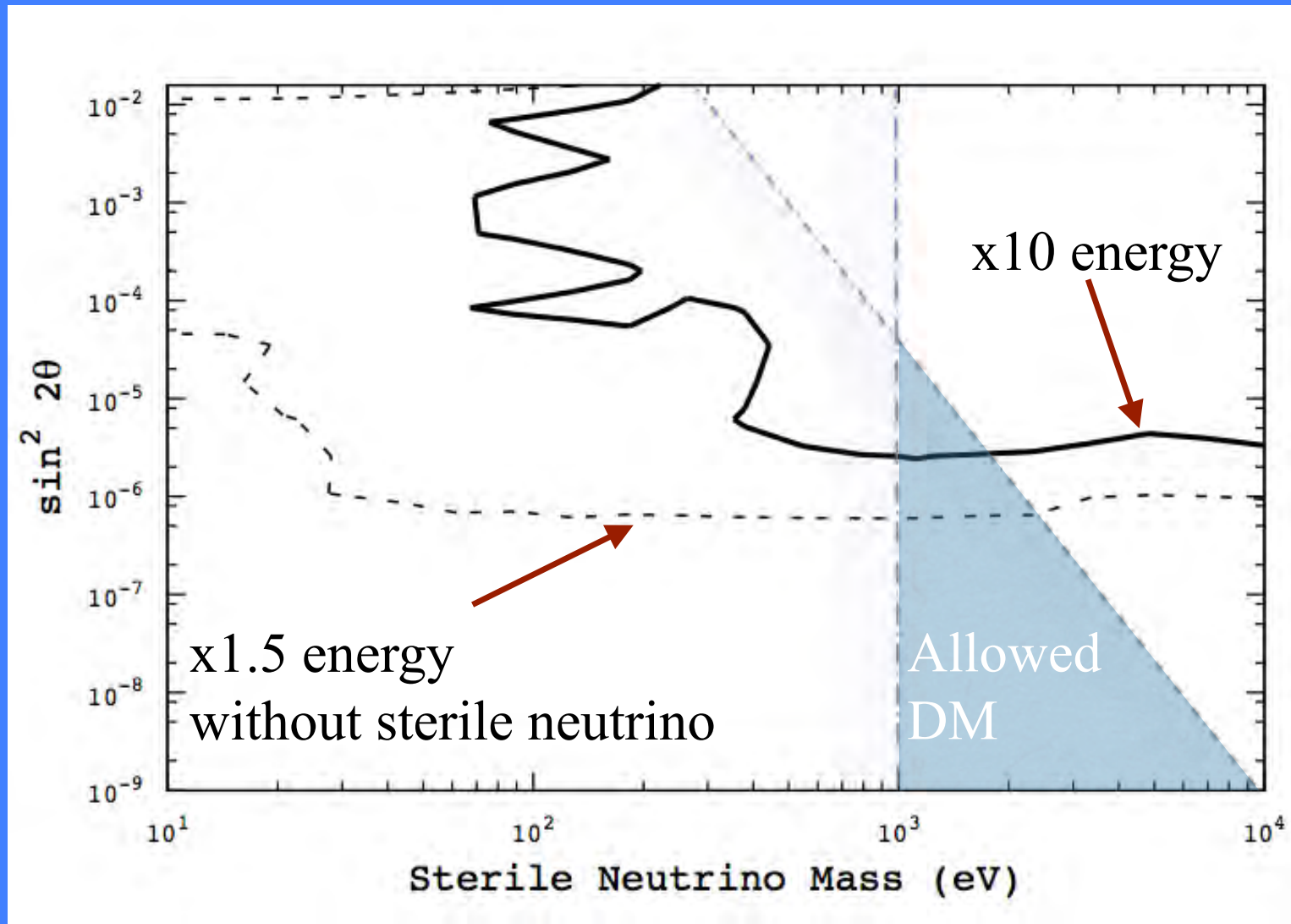


Without sterile neutrinos



With sterile neutrinos

# Enhancement of Explosion Energy



# Constraints on Sterile Neutrino Dark Matter

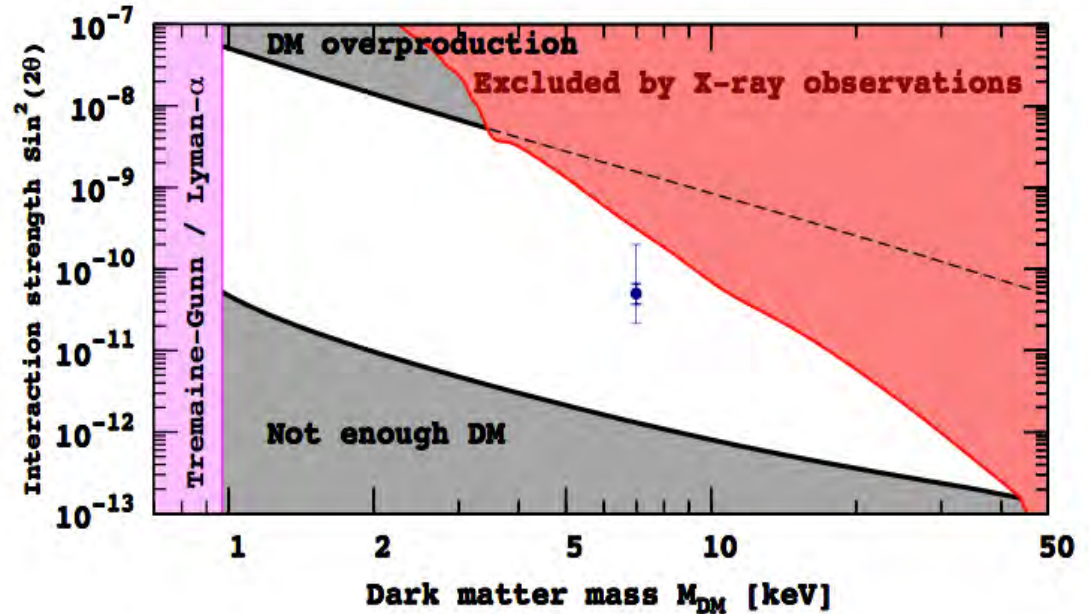
Boyarsky et al (2014)

Decaying sterile neutrinos

$$\nu_s \rightarrow \gamma + \nu_\alpha$$

Assume dark matter is  
100% sterile neutrino

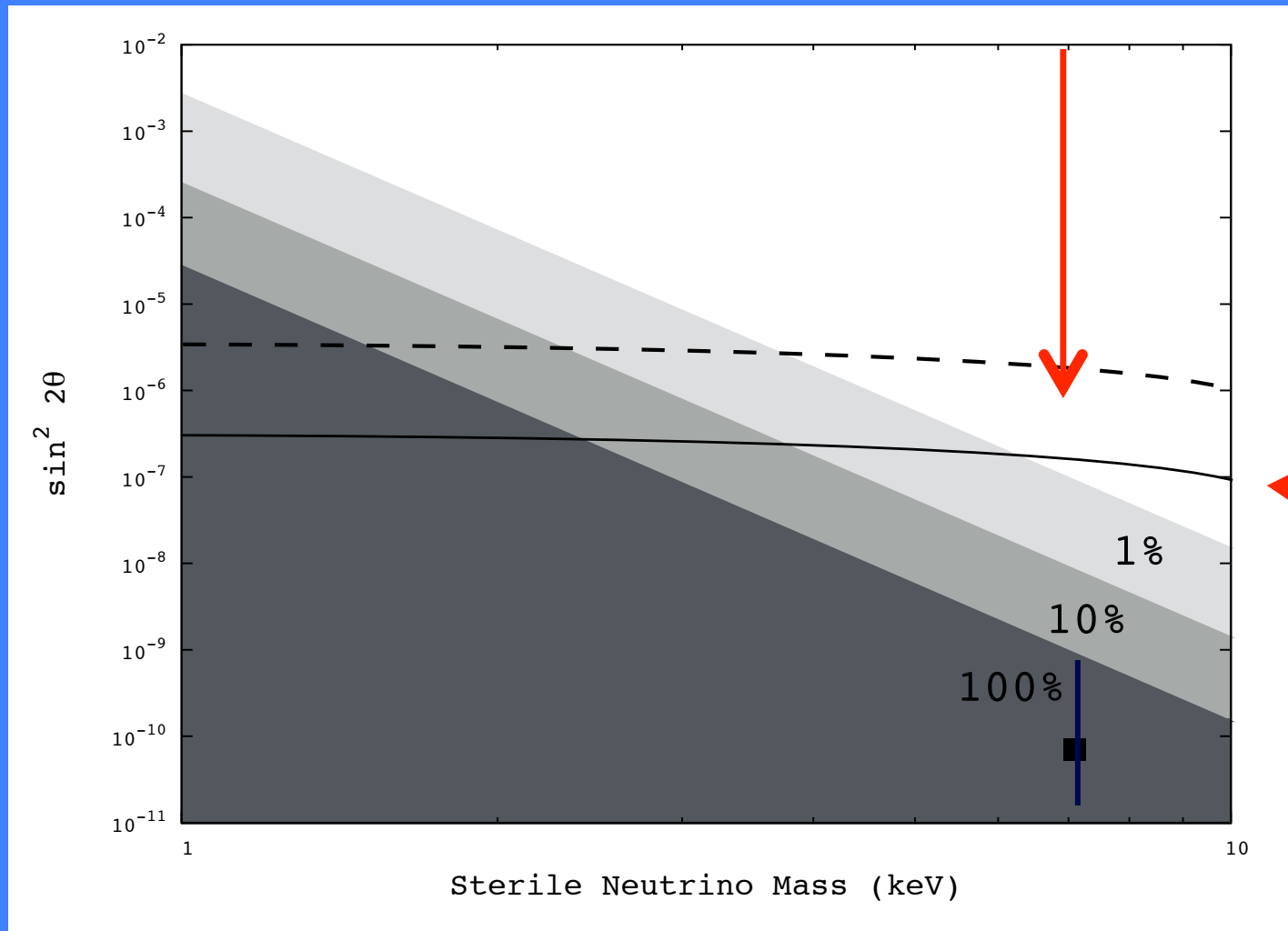
$$\Gamma_{\nu_s \rightarrow \gamma \nu_\alpha} \sim \sin^2 2\theta_s m_s^5$$



$$E_\gamma = 3.518_{-0.022}^{+0.019} \text{ keV}$$

$$m_s = 7.06 \pm 0.05 \text{ keV}$$

# Dark Matter fraction in sterile neutrinos



Mixing  
needed to  
affect  
explosion



Fraction of  
DM

# Analysis of Explosion Dynamics

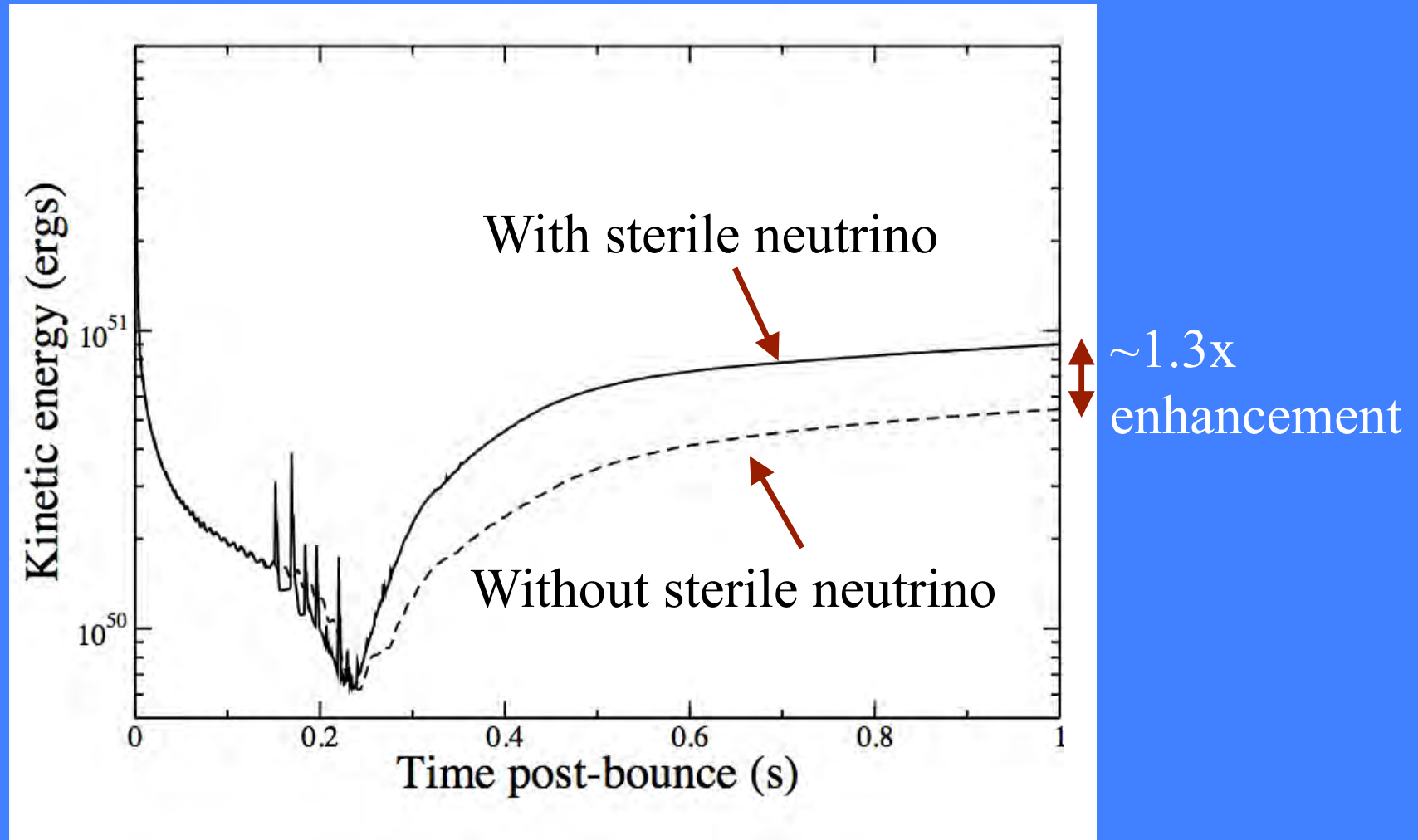
Kinetic Energy

Neutrino light curve

Location of Neutrinosphere

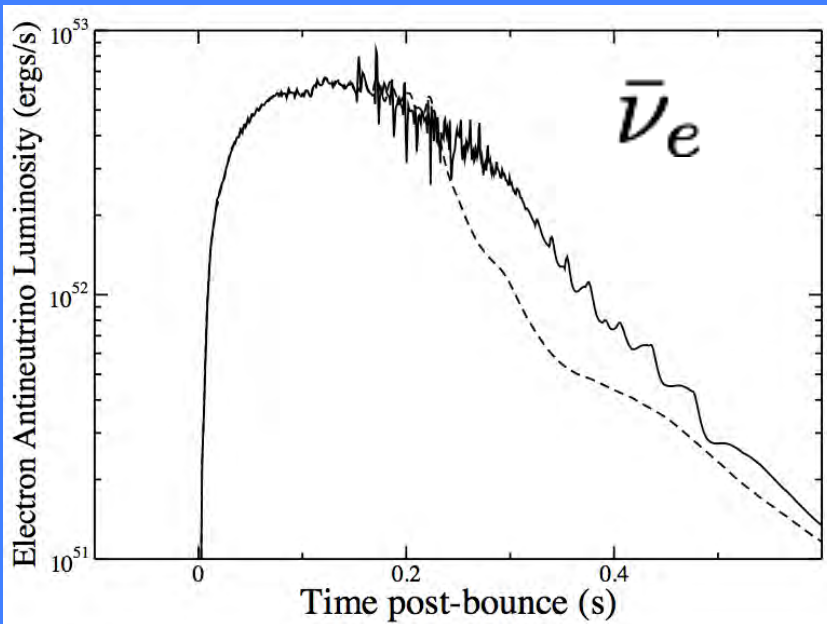
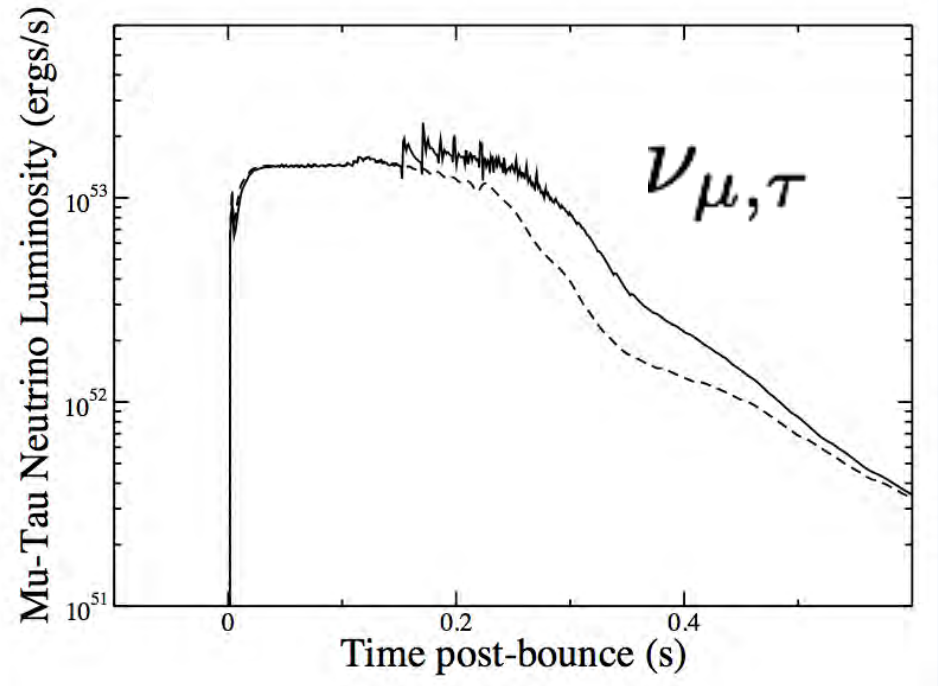
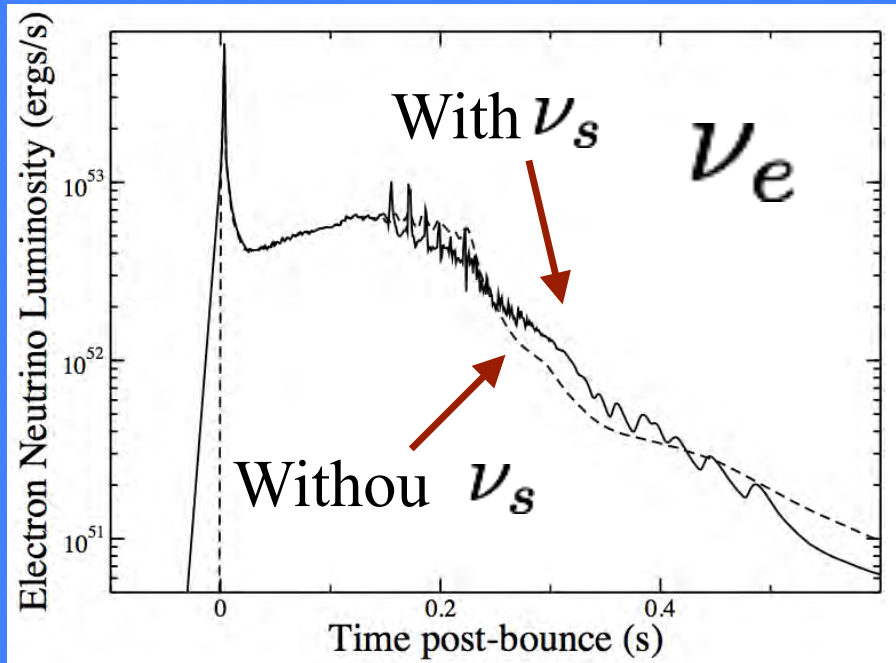
Example:

$$m_s = 5.0 \text{ keV}$$
$$\sin^2 2\theta_s = 1.12 \times 10^{-5}$$



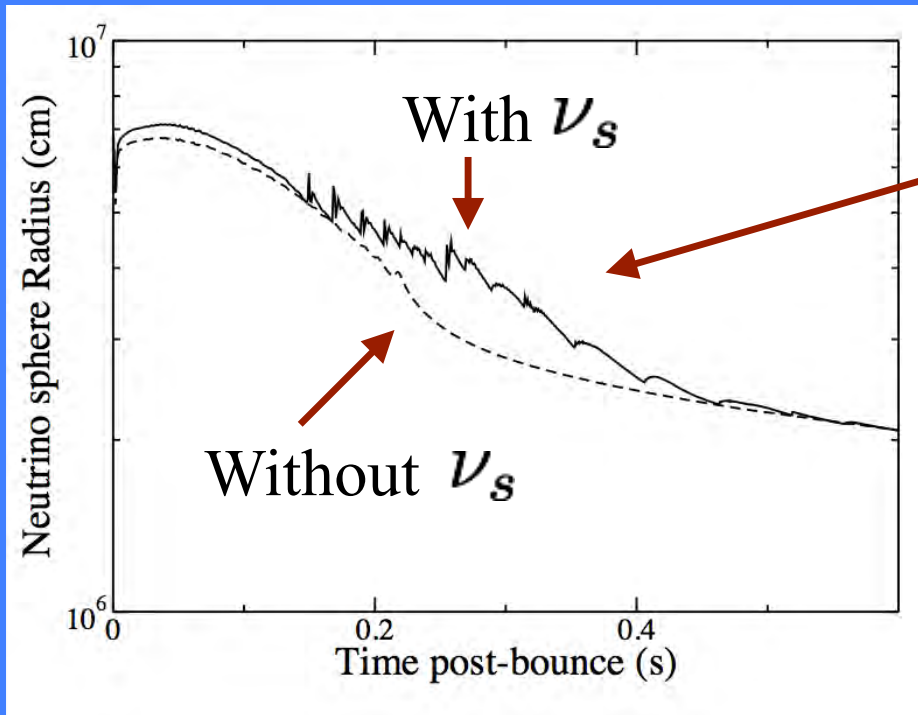


# Observable Luminosities

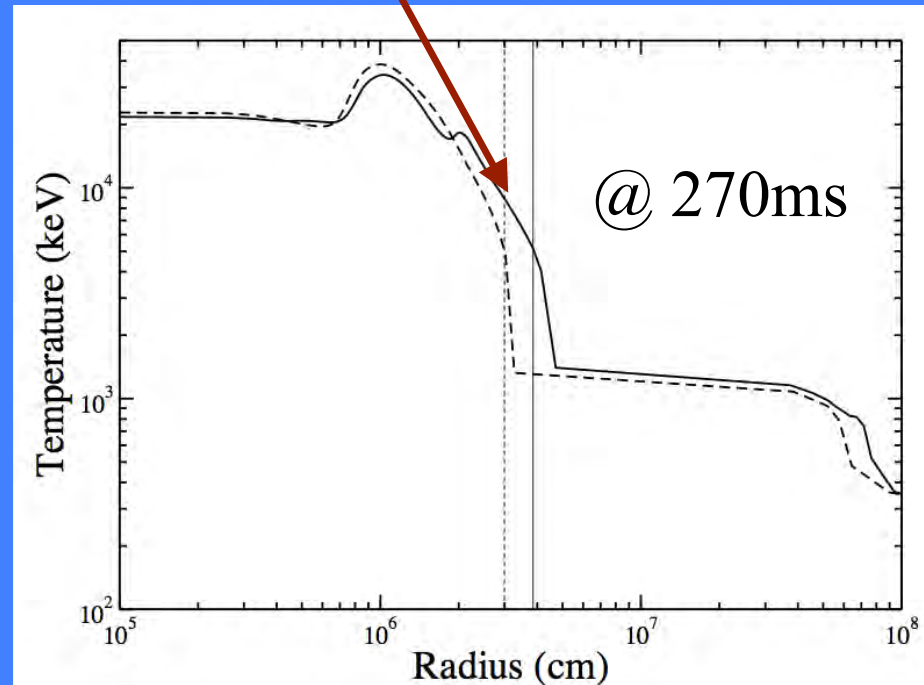


Episodic variation due to sudden decrease in diffusion time followed by depletion of spectrum

# Expanded Neutrinosphere



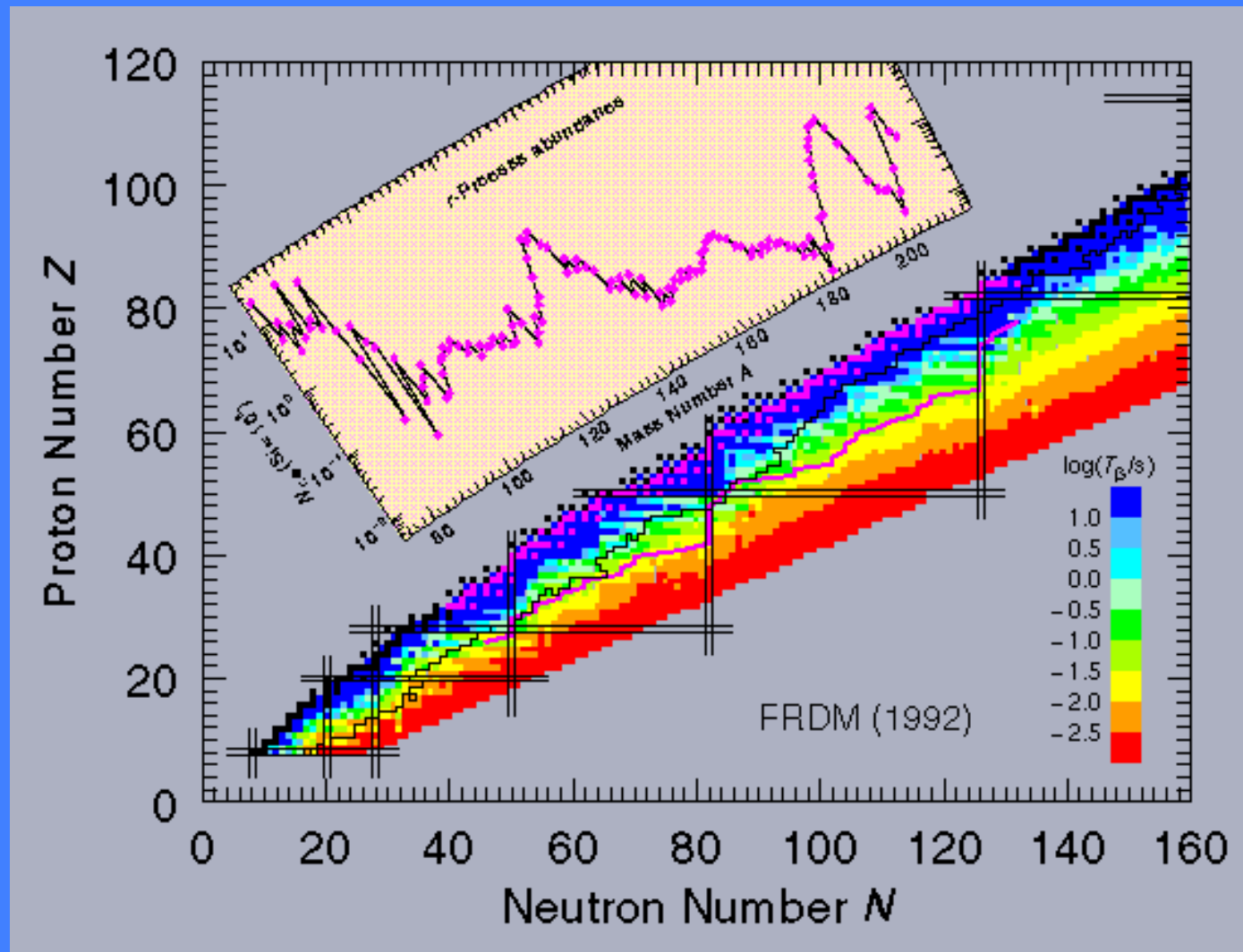
Neutrinosphere radius increased,  
temperature unchanged



# Summary

1. Sterile Neutrinos are a natural DM candidate
2. Supernova models don't explode  
(Or explode with too little energy...)
3. Sterile neutrinos can enhance explosion energies and lead to an explosion in models that would otherwise not explode
4. Effects on SN neutrino emission might be detectable

# Supernova neutrinos and r-process nucleosynthesis

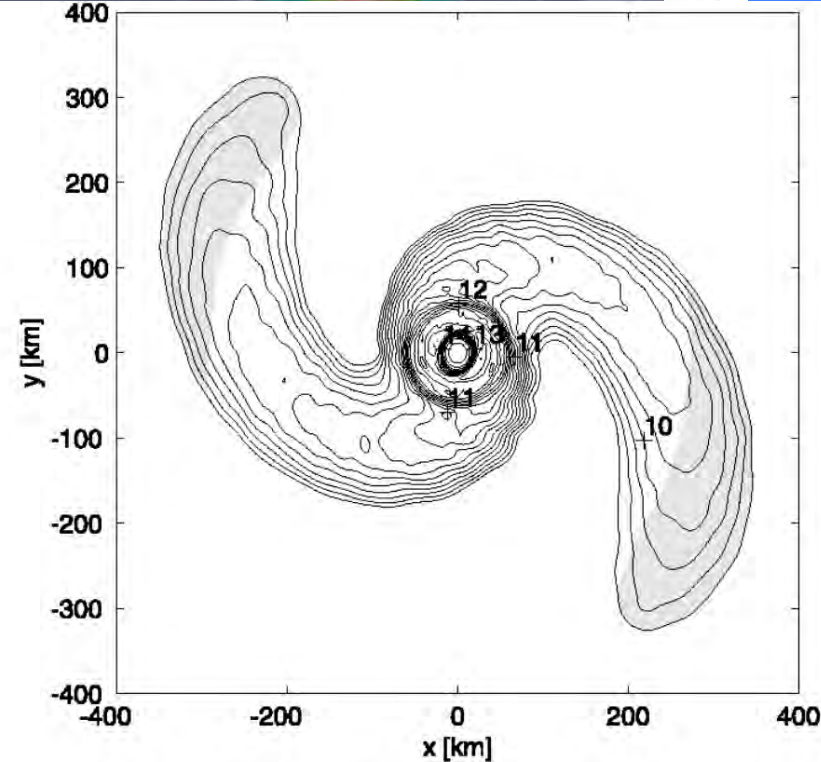
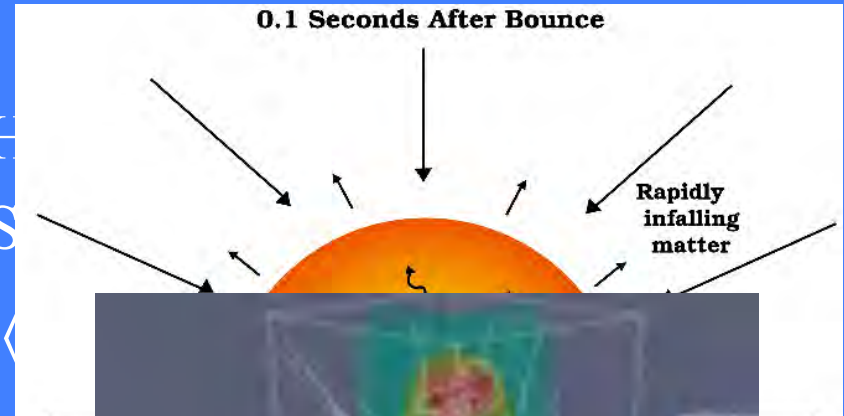


# Models for the $r$ -Process

Neutrino Driven Winds  
in the High Entropy  
Supernova Bubble

Ejection of  
neutronized core  
material in a low-mass  
supernovae or MHD  
jets

Neutron star mergers



There are large differences in the emitted neutrino energies and spectra depending upon how one solves for the neutrino transport

Fischer et al, PRD (2012)

Roberts, Reddy & Shen (2012)

This dramatically affects the nucleosynthesis of heavy elements in the **r-process**

Nakamura, Sato, Harikae, Kajino, Mathews, IJMPE, 22, 1330022 (2013)

Shibagaki, Kajino, Chiba, Mathews, Nishimura, Luroso, PRD, (2014) Submitted

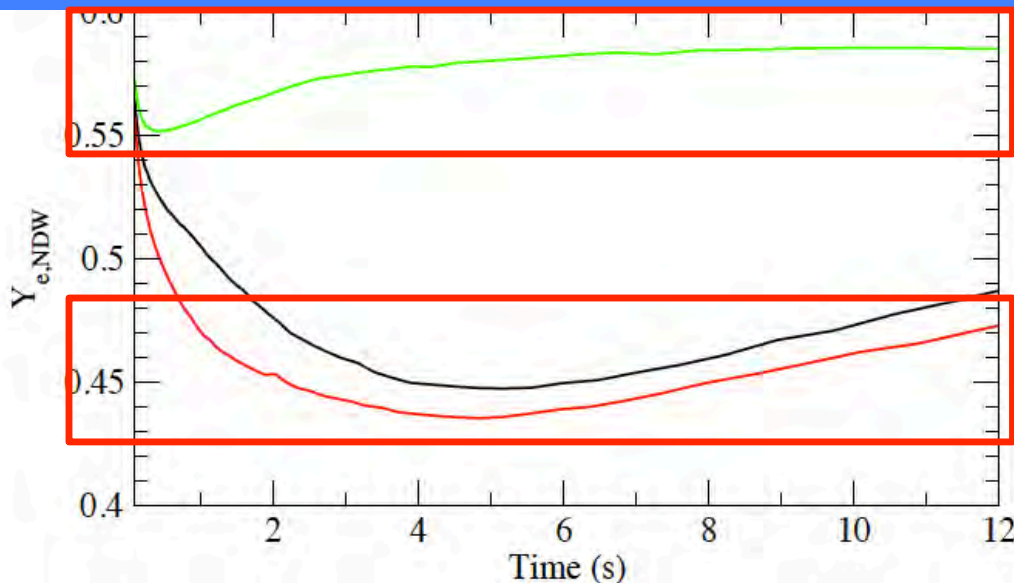
# Best recent models have $Y_e \sim 0.5$ in the neutrino wind driven r-process



$$\Delta = m_n - m_p = 1.2935 \text{ MeV}$$

$$Y_{e,\text{NDW}} \approx \left[ 1 + \frac{\dot{N}_{\bar{\nu}_e} \langle \sigma(\epsilon)_{p,\bar{\nu}_e} \rangle}{\dot{N}_{\nu_e} \langle \sigma(\epsilon)_{n,\nu_e} \rangle} \right]^{-1}$$

- $Y_e = p/(n + p) \sim 0.5$
- Few excess neutrons
- $\Rightarrow$  no r-process

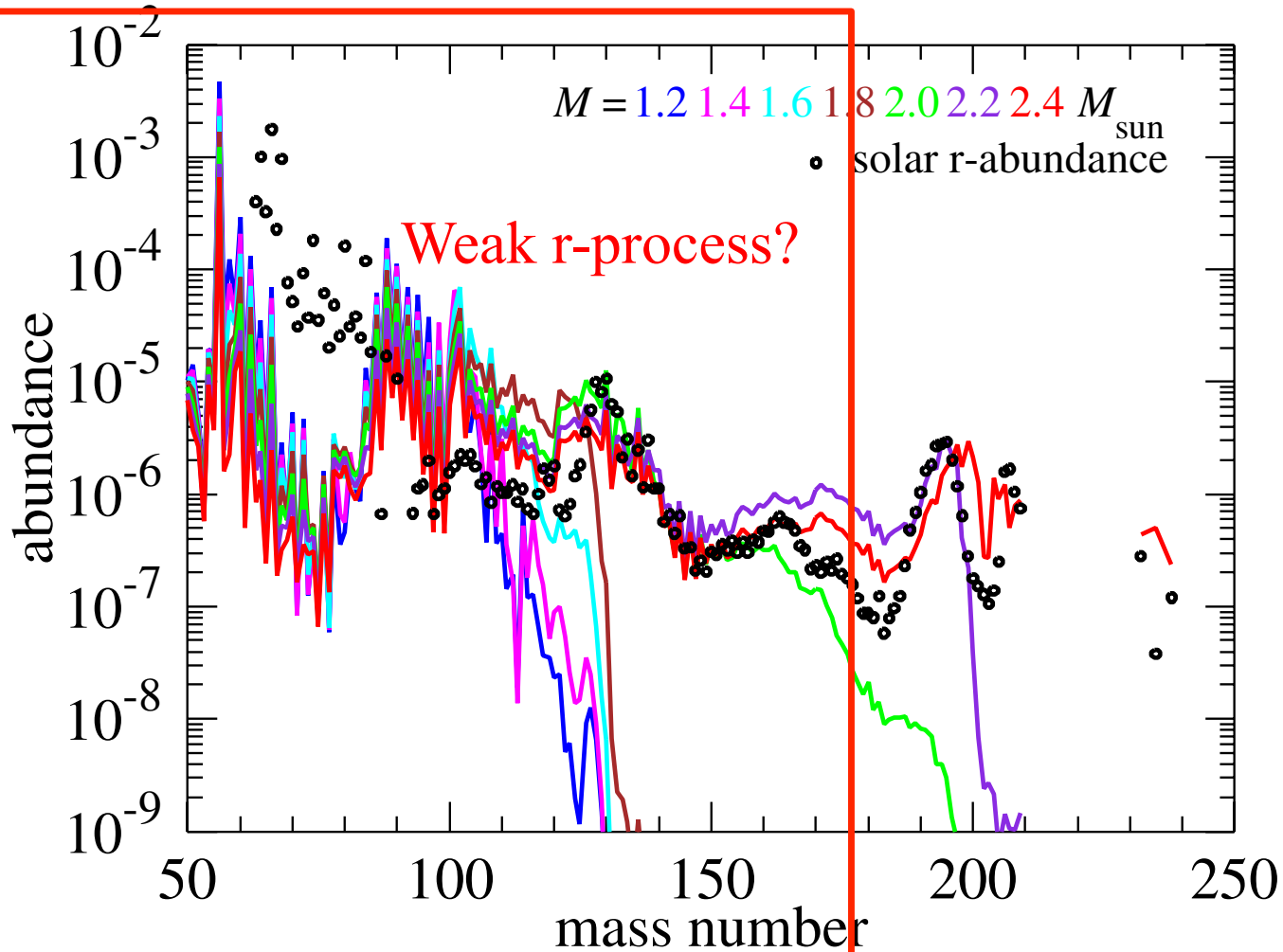


Fischer et al.(2012)

Roberts & Reddy(2012)

# Not enough neutrons for the heaviest $r$ -process nuclides

S. Wanajo, ApJL, L22 (2013)



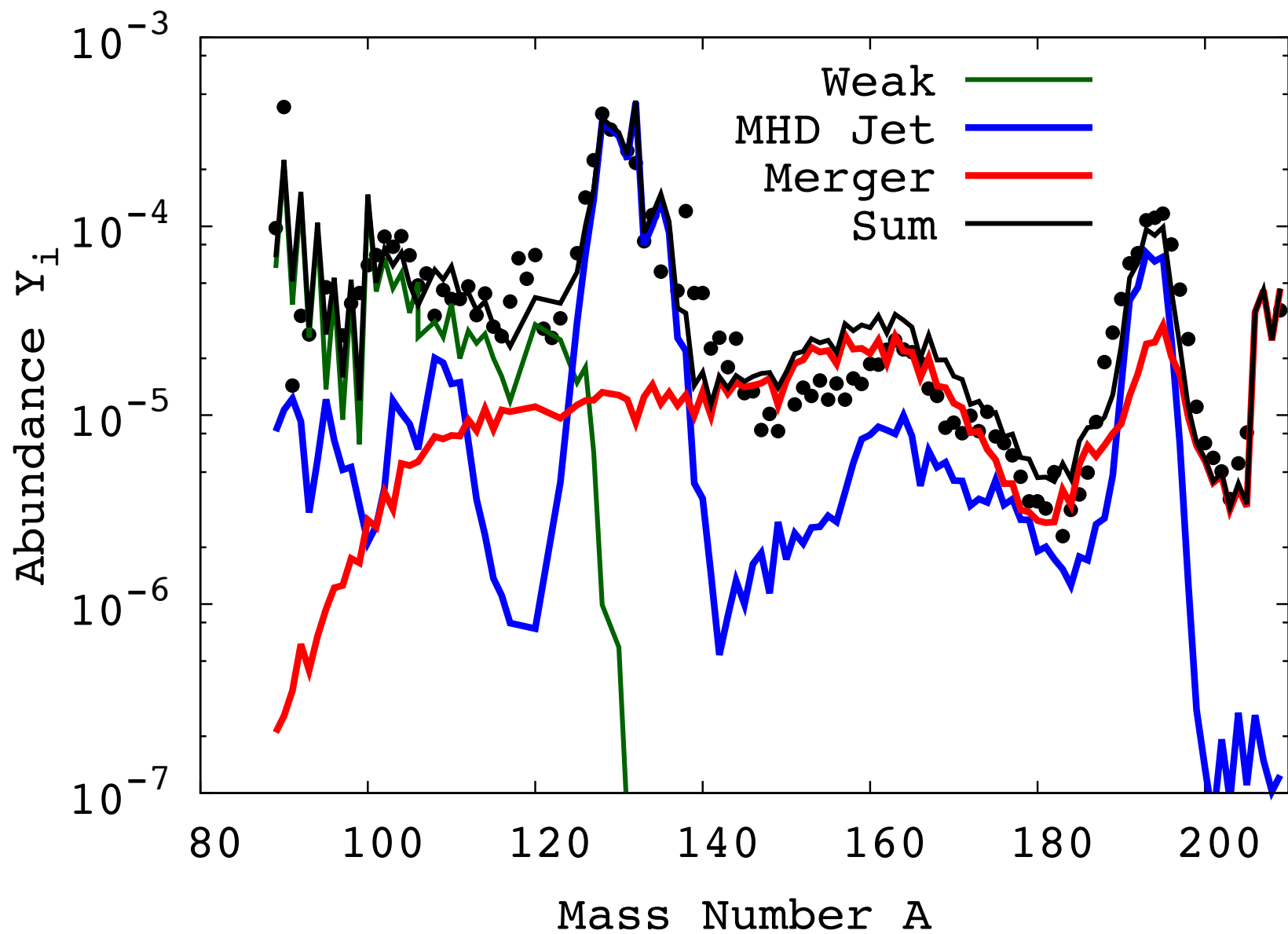


Most likely the NDW only  
produces the light r-process  
elements

What can make heavier r-process  
elements?

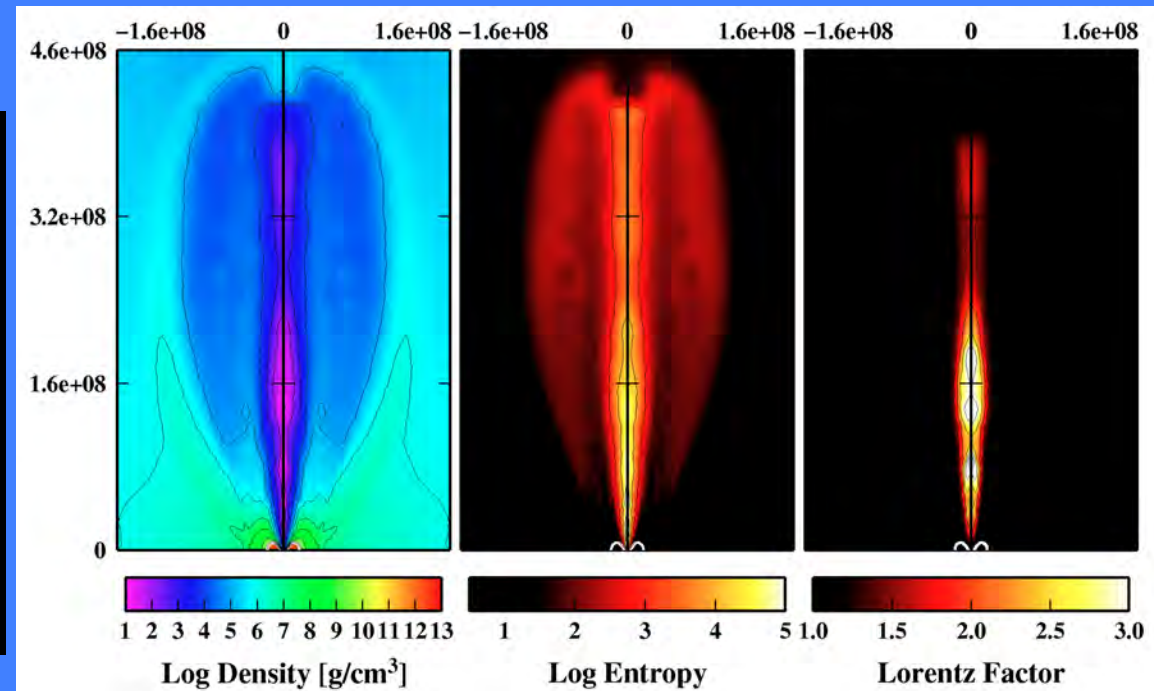
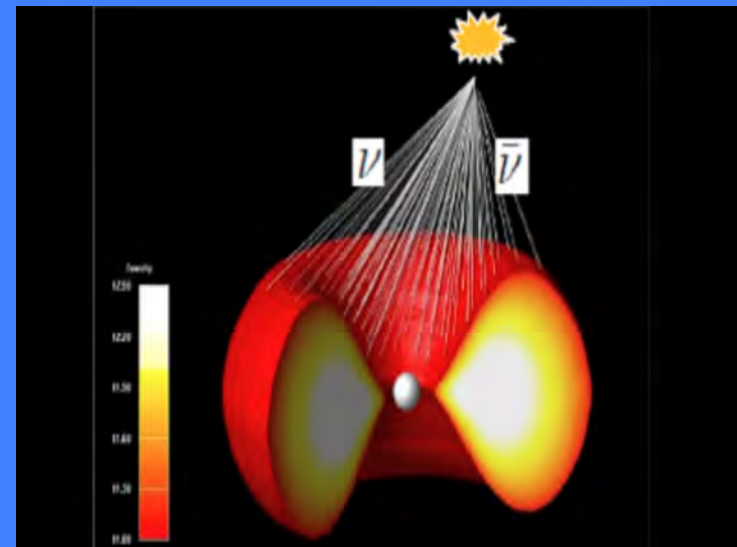
MHD jets?

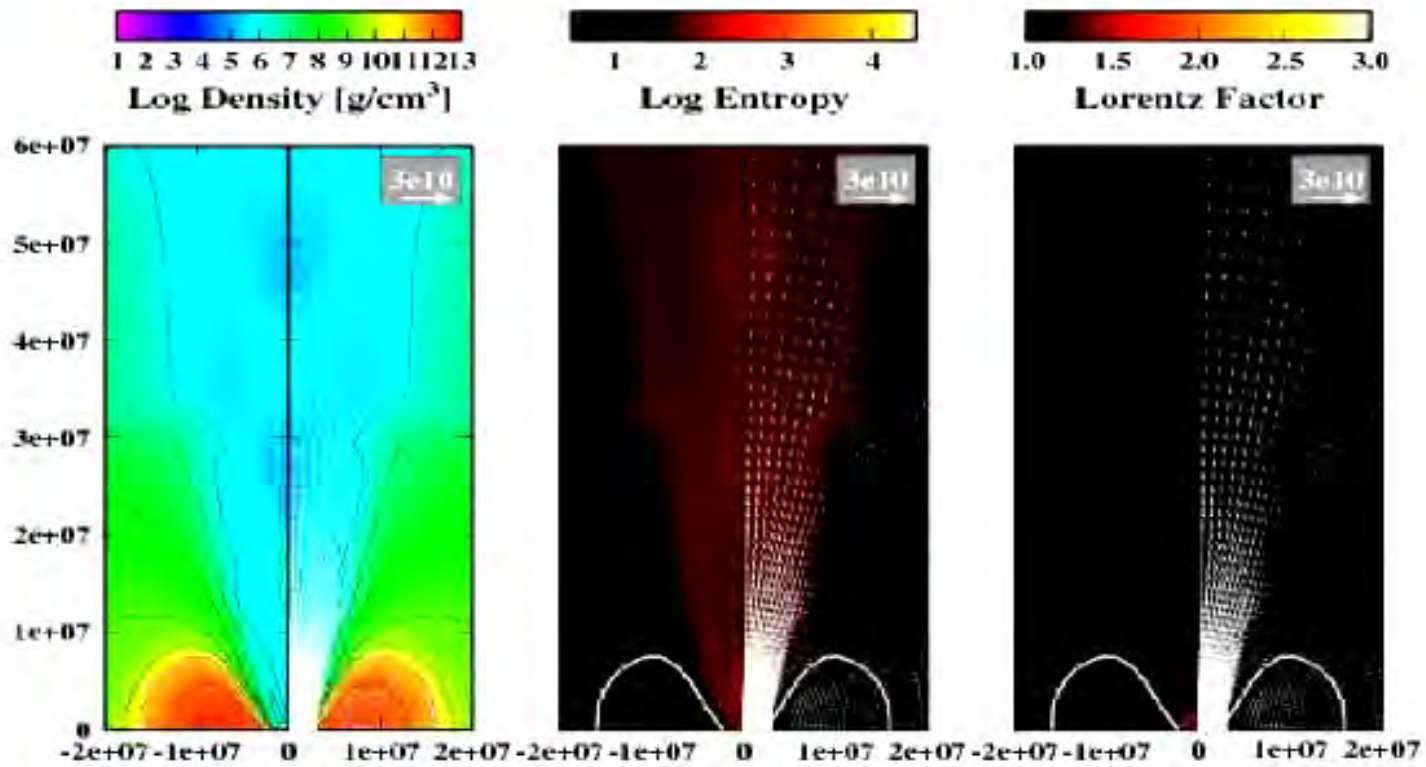
Neutron star mergers?



# NEUTRINO-PAIR HEATING and R-PROCESS NUCLEOSYNTHESIS COLLAPSAR JETS

K. Nakamura, S. Sato, S Harikae, T. Kajino, and GJM,  
IJMPE, 22, 1330022 (2013); A&A (2015) in press





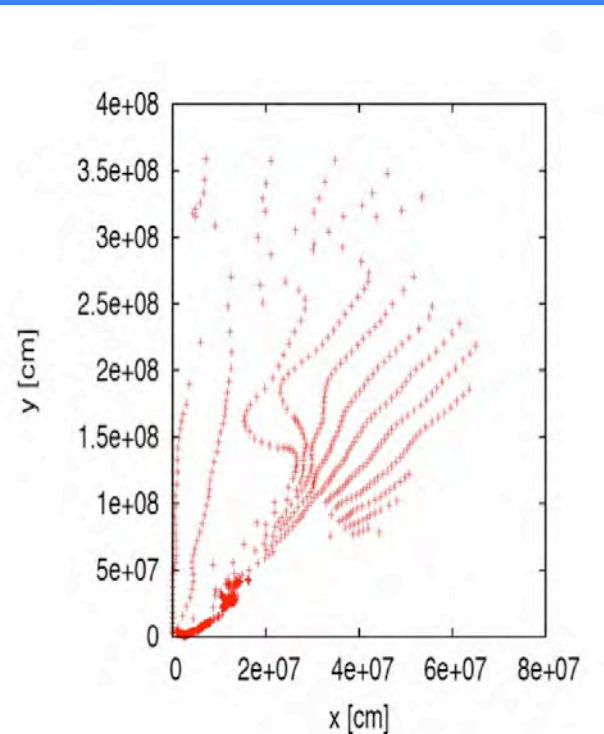
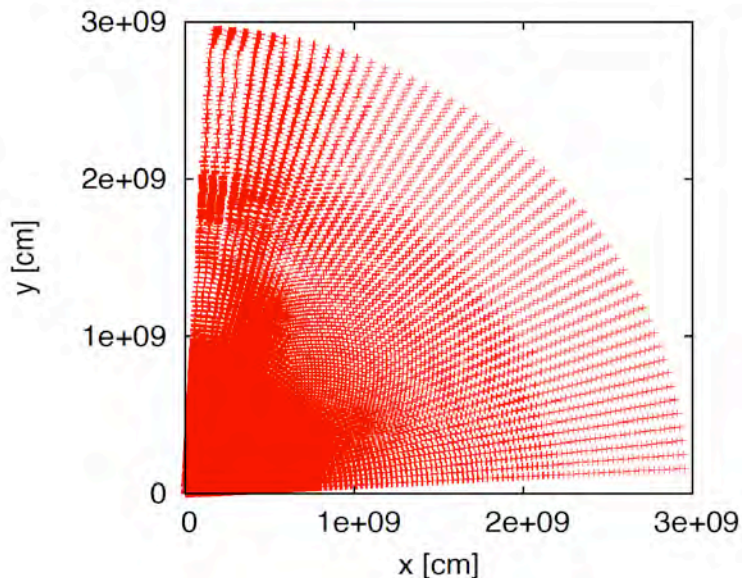
Nakamura et al. (2015)

# Modeling the r-process

K. Nakamura, et al *A&A* (2015) in press

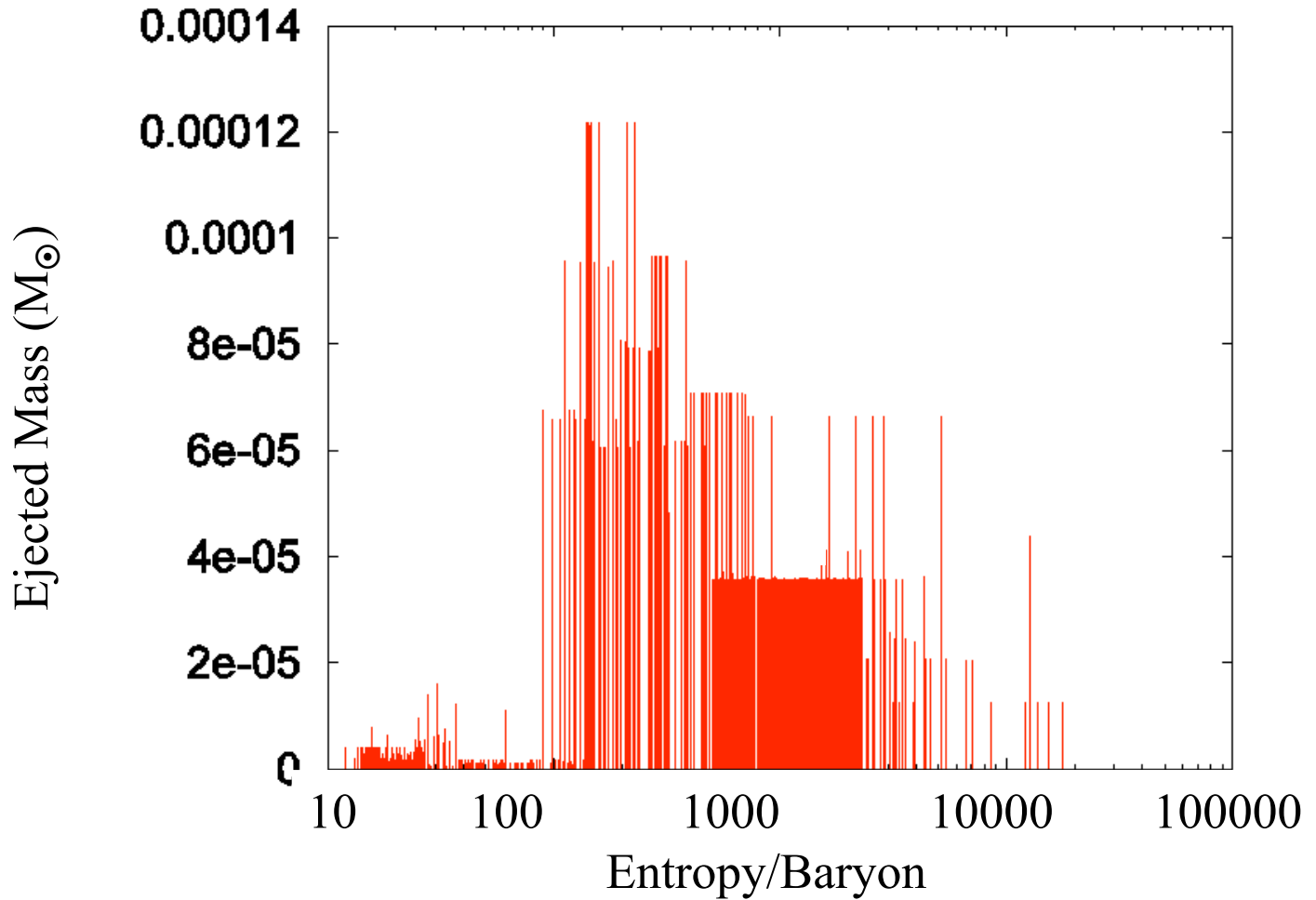
- Extend the jet beyond the MHD+neutrino pair heating using **2D hydro**
- Attach **tracer particles** to evolve the flow of material into the accretion disk and out into the jet

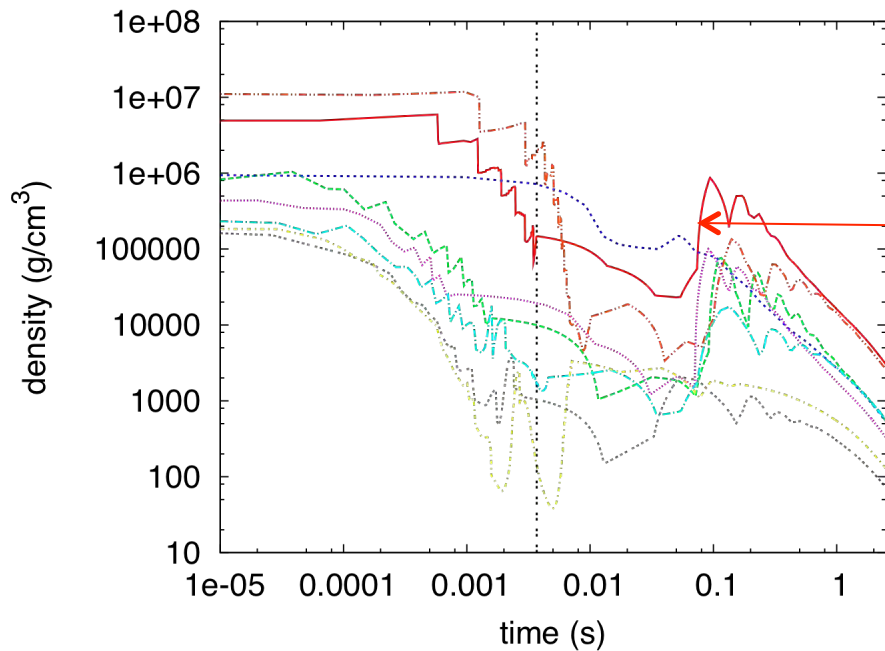
20,000 tracer particles



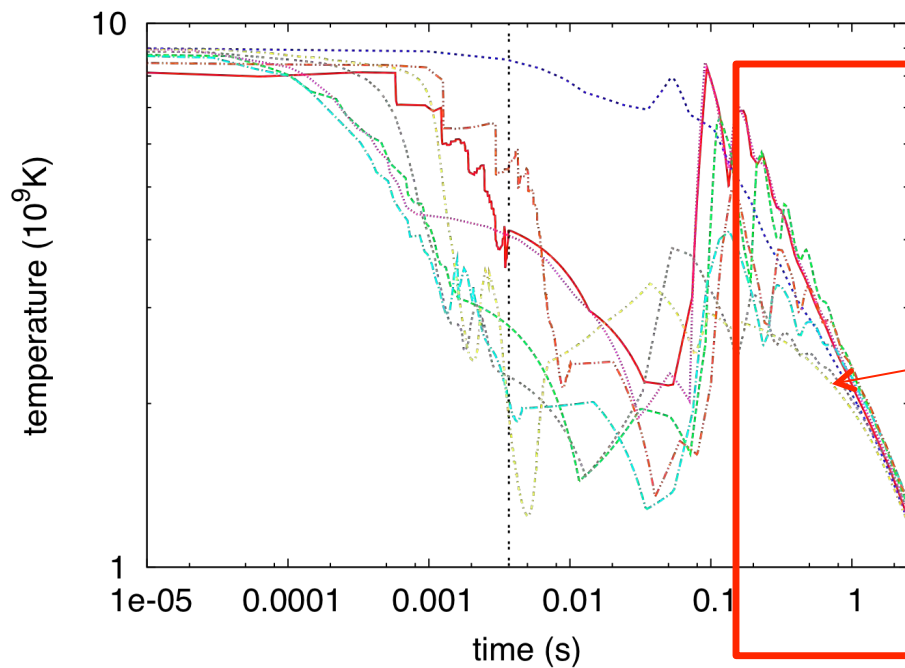
1208  
trajectories  
with  
positive  
energy

# Entropy in the jet



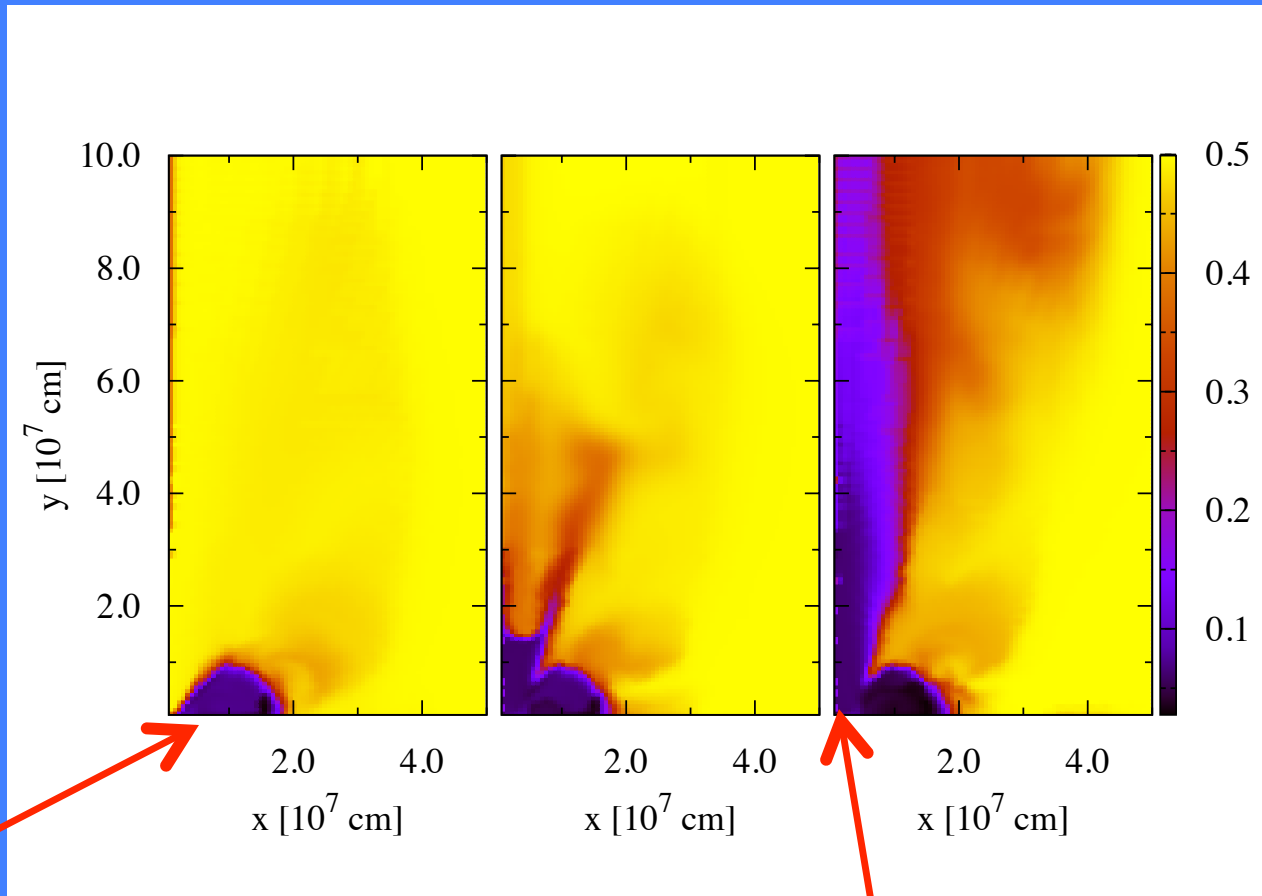


Rayleigh-Taylor  
overturn



r-process conditions

# Evolution of low- $Y_e$ neutron-rich material



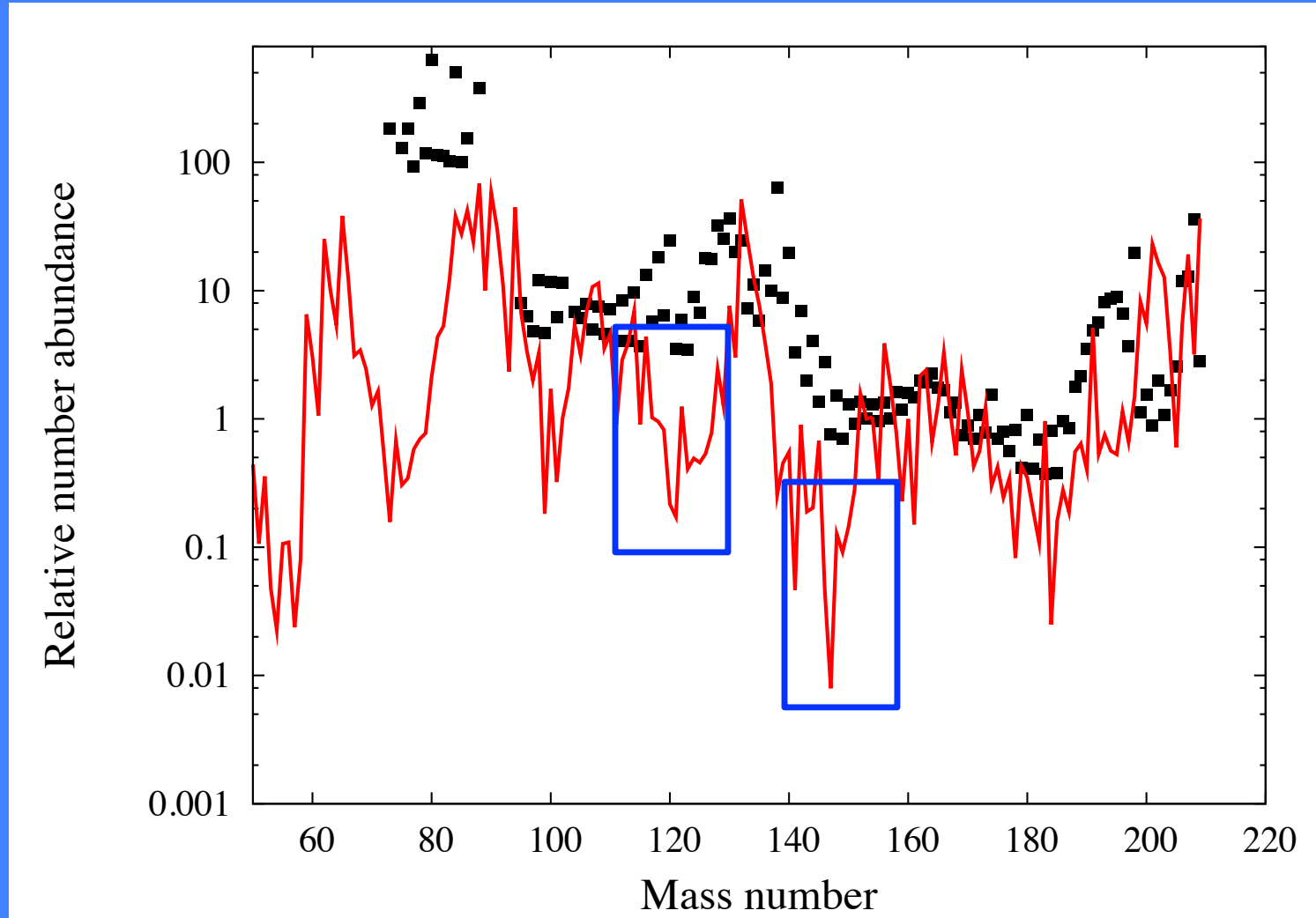
Neutronized accretion-disk material

Flows into the jet

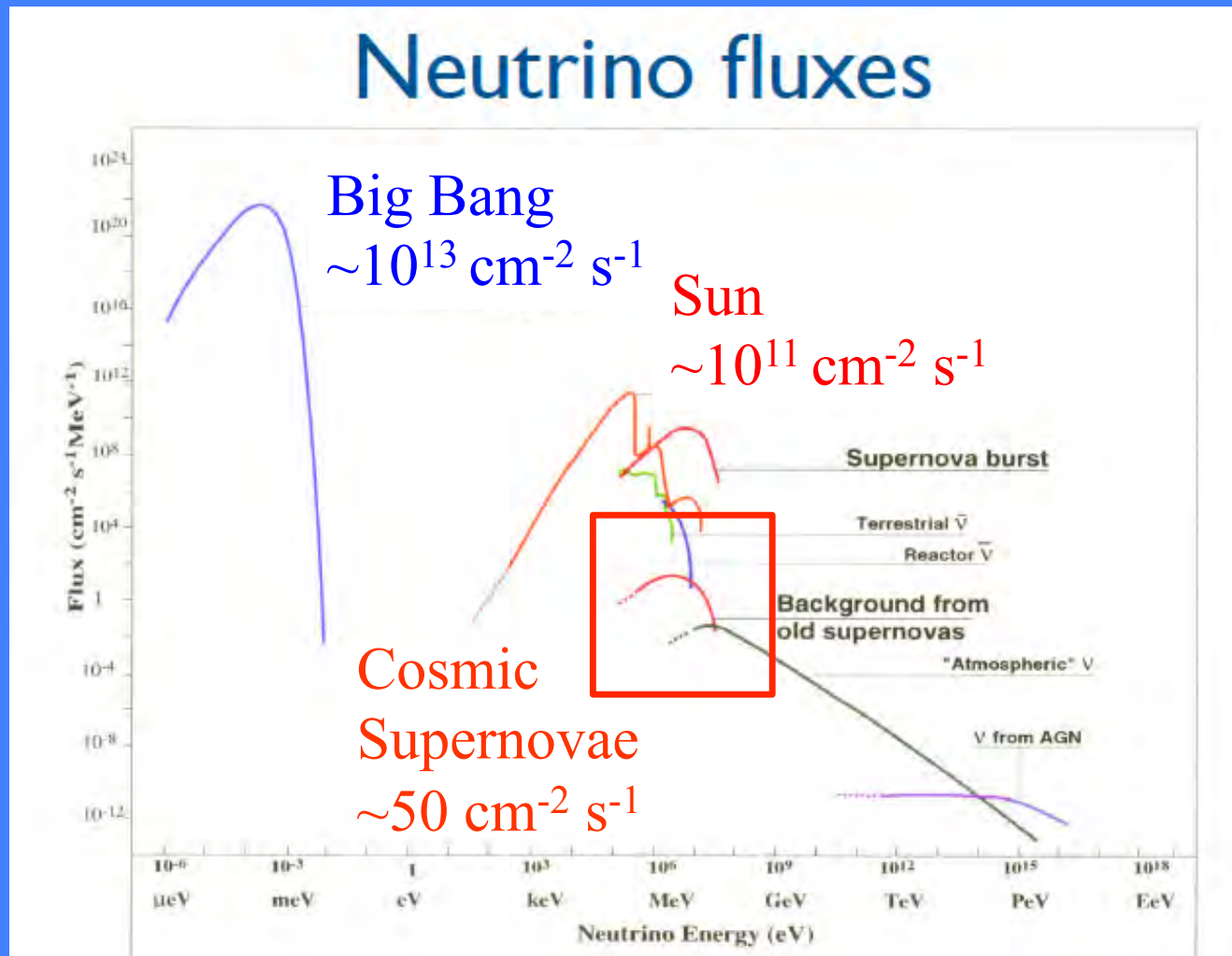


# R-Process in the collapsar jet?

K. Nakamura, S. Sato, S Harikae, T. Kajino, and GJM,  
IJMPE, 22, 1330022 (2013); A&A (2015) in press



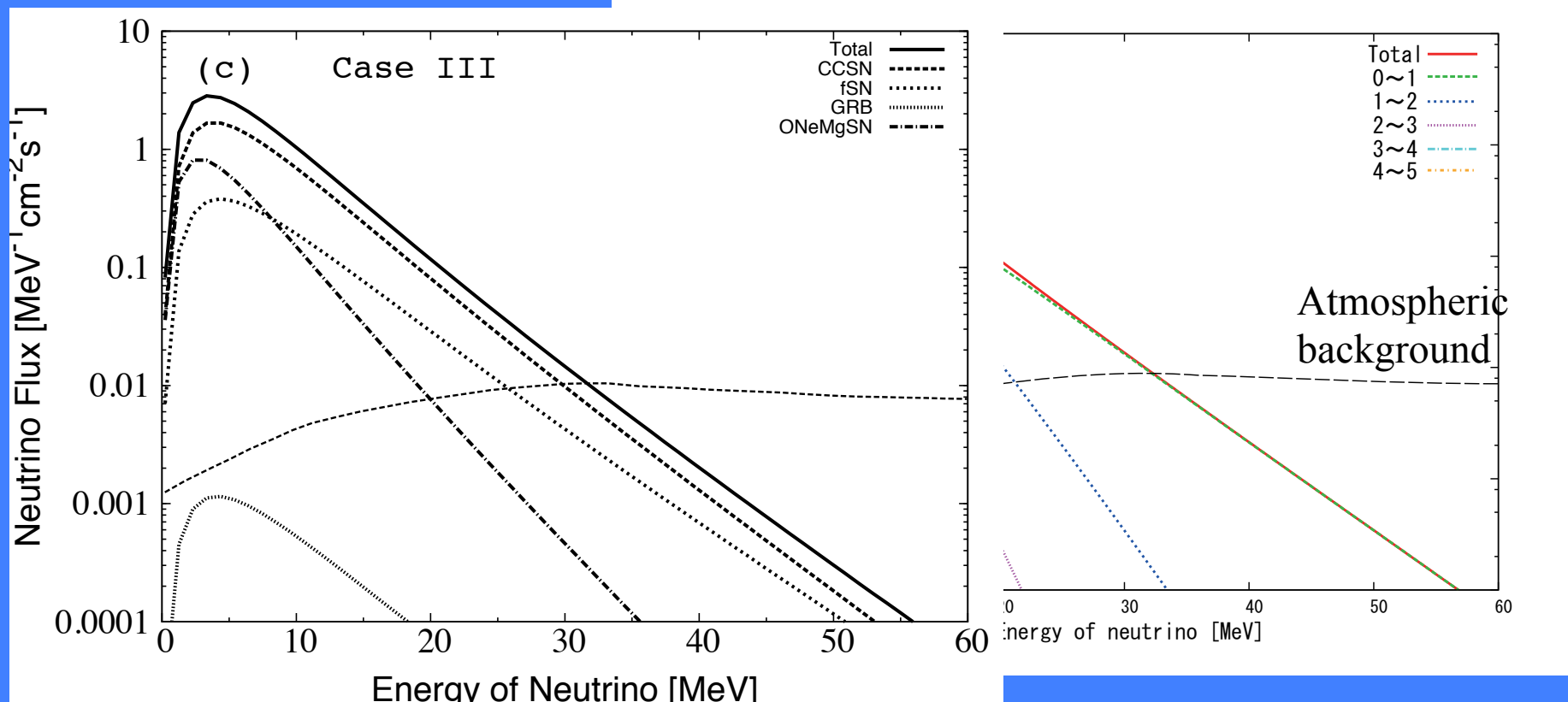
# Supernova relic neutrino background as a messenger of supernova physics



# Calculated Relic Neutrino Spectrum

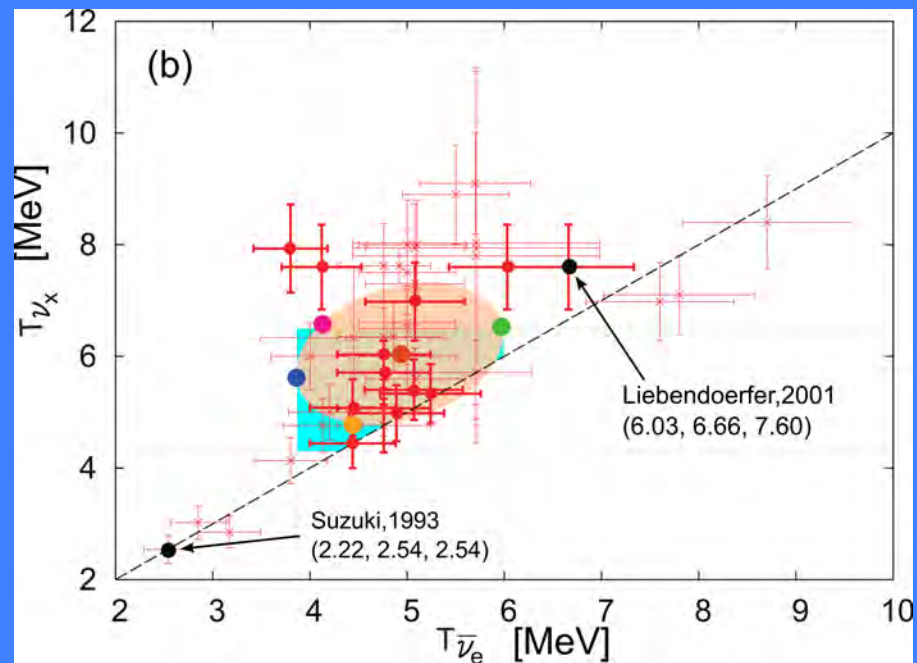
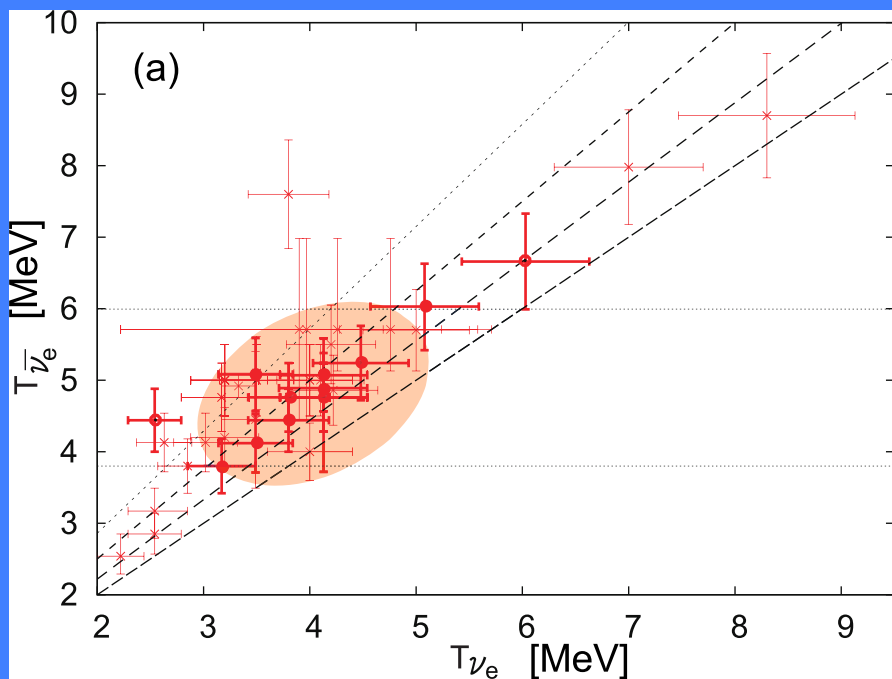
$$\frac{dN_\nu}{dE_\nu} = \frac{c}{H_0} \int_0^{z_{max}} R_{SN}(z) \frac{dN_\nu(E'_\nu)}{dE'_\nu} dz \times \frac{1}{\sqrt{(\Omega_m)(1+z)^3 + \Omega_\Lambda}},$$

GJM, Hidaka, Kajino, Suzuki ApJ (2014)



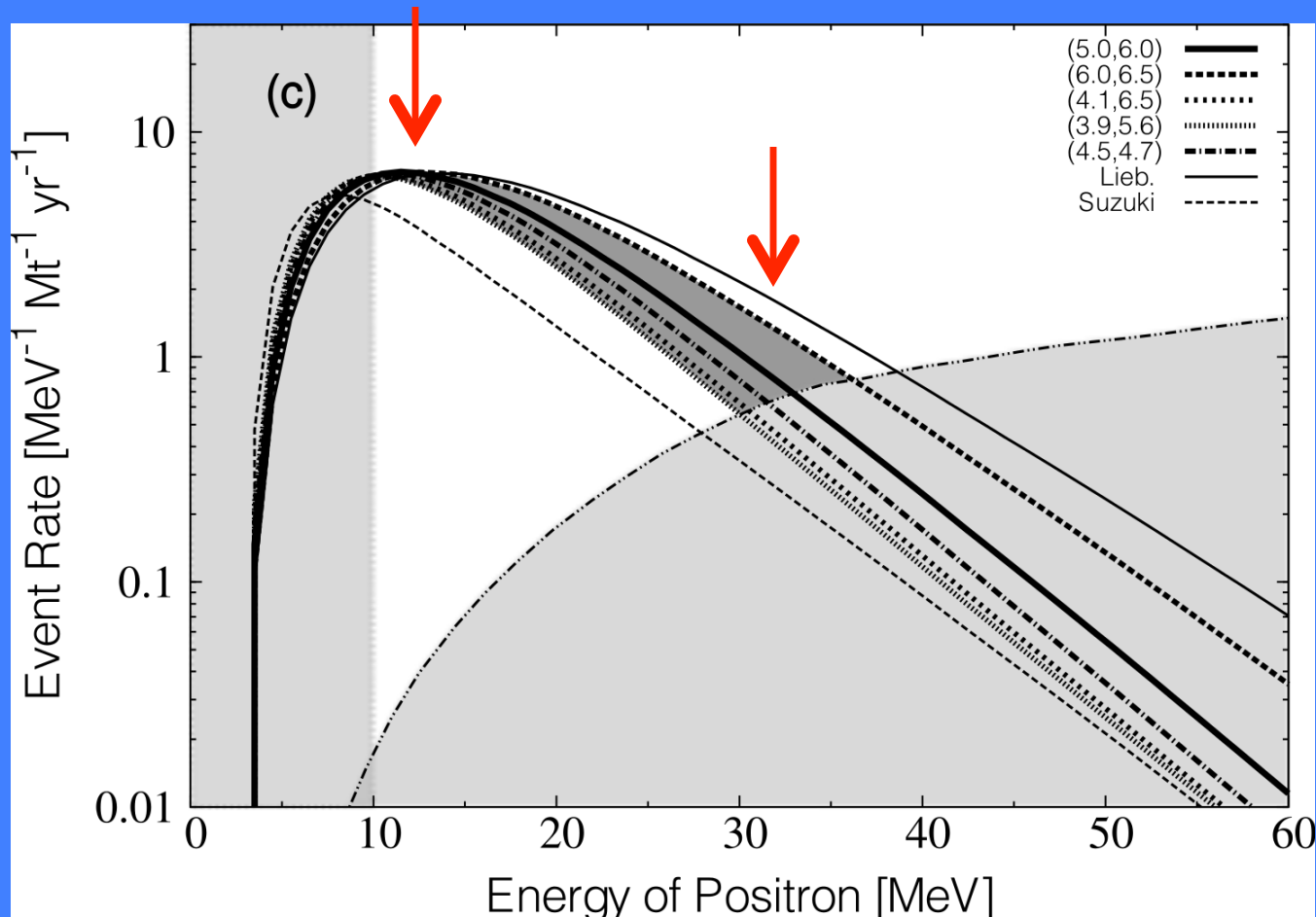
# Supernova model neutrino temperatures contribute the largest error in predicted detection rate

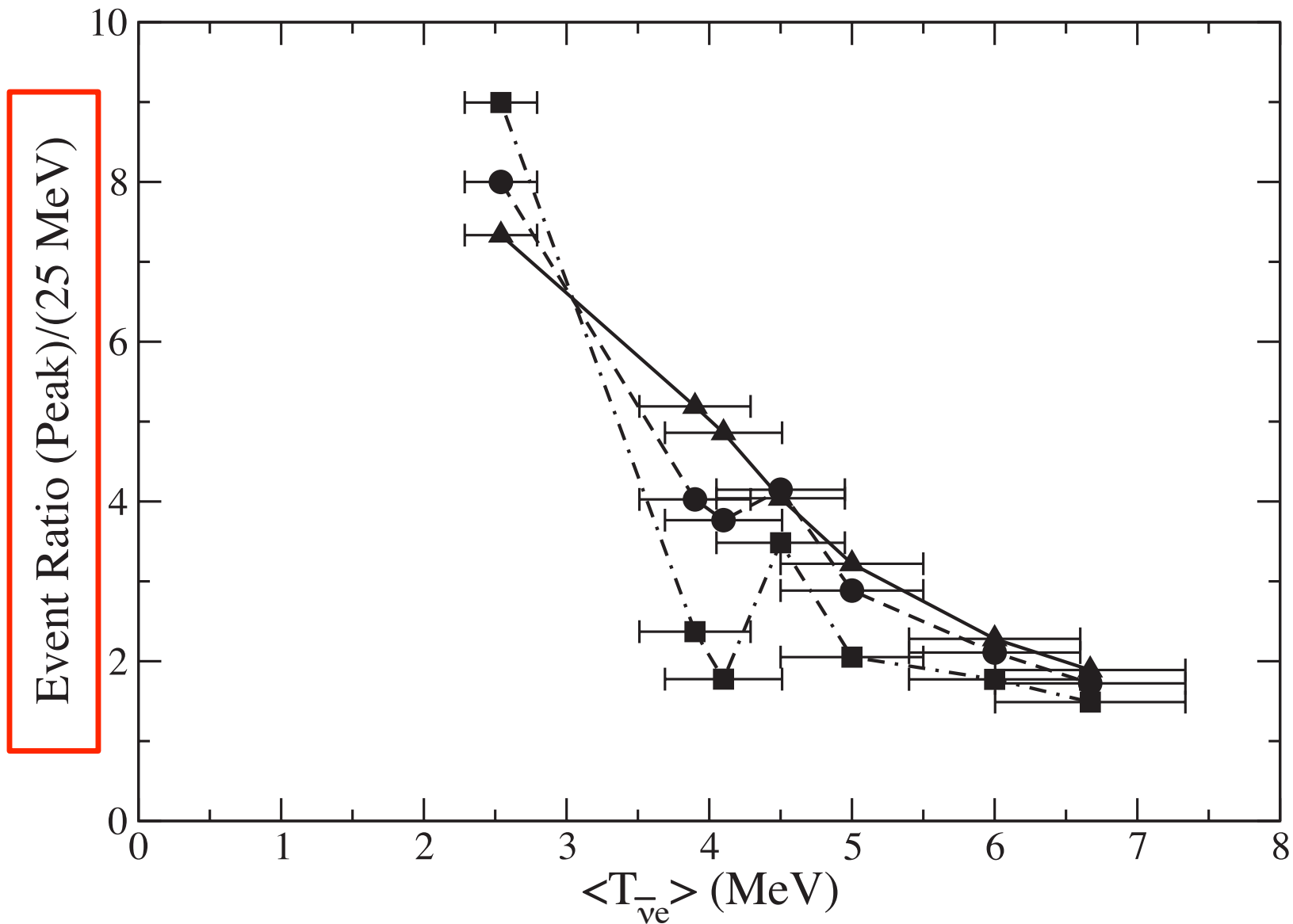
GJM, Hidaka, Suzuki, Kajino ApJ, 790, 115 (2014)



# Detection Rate is Sensetive to neutrino temperature

$$\frac{dN_{event}}{dE_{e^+}} = N_{target} \cdot \varepsilon(E_\nu) \cdot \frac{1}{c} \cdot \frac{dF_\nu}{dE_\nu} \cdot \sigma(E_\nu) \cdot \frac{dE_\nu}{dE_{e^+}}$$





# Conclusions

- Supernova neutrinos and nucleosynthesis provide insight into:
  - Beyond standard model physics
  - Site for r-process nucleosynthesis
  - Relic neutrino spectrum and the temperature at the neutrinosphere